

# Bandwidth is not enough

“Hidden” outlier noise and its mitigation

Alexei V Nikitin

Nonlinear LLC  
Wamego, KS 66547, USA

avn@nonlinearcorp.com

Ruslan L Davidchack

University of Leicester  
Leicester, LE1 7RH, UK

rld8@leicester.ac.uk

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# What's so special? Why all this trouble?



Tricky to detect



Hard to track and quantify



Hides and reappears



Enables “extra” mitigation

## Part I: Many challenges and misconceptions

Outlier noise: Ubiquitous but often elusive

Outlier noise: Why care? What works?

### What hides outlier noise?

- #1 – General filtering effects
- #2 – “Outliers” vs “outlier noise” ambiguity
- #3 – Insufficient observation bandwidth
- #4 – Spectral ambiguity
- #5 – Ambiguity of amplitude densities
- #6 – Wide range of powers across spectrum

Outlier noise: Observation vs. mitigation

Complex signal+noise compositions

# Part II: Methodology and tools for outlier noise mitigation

## ADiC components and their implementation

ADiC as main building block

Basic ADiC structure

QTFs for robust range

Much better way: Feedback-based ADiC

## ADiC-based outlier noise filtering

Spectral reshaping by ADiC and *efecto cucaracha*

CAF: Removing outlier noise while preserving signal of interest

CAF vs linear: Effect on channel capacity

“No harm” (default) CAF configurations

## Analog vs digital

Digital: Where to get bandwidth?

Addressing complex interference scenarios

Practical configurations: CAF for chirp signals

Practical configurations: CAF for OFDM

CAF for clipping distortions

Designing development & testing platform

## Broader picture



# Part I

Many challenges and misconceptions

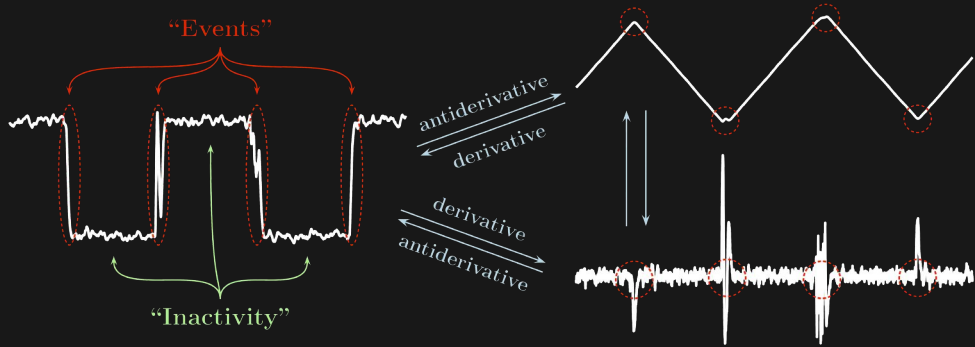
# Outlier noise: Ubiquitous but often elusive

“It isn’t that they cannot find the solution. It is that they cannot see the problem.”

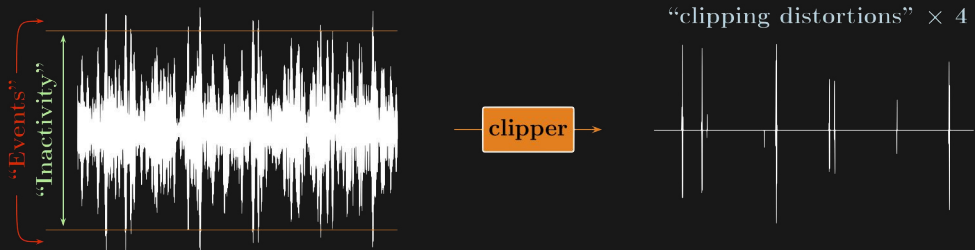
– G.K. Chesterton, *The Scandal of Father Brown* (1933)

# Outlier noise's origins: "Events" separated by "inactivity"

E.g. coupled from external sources...



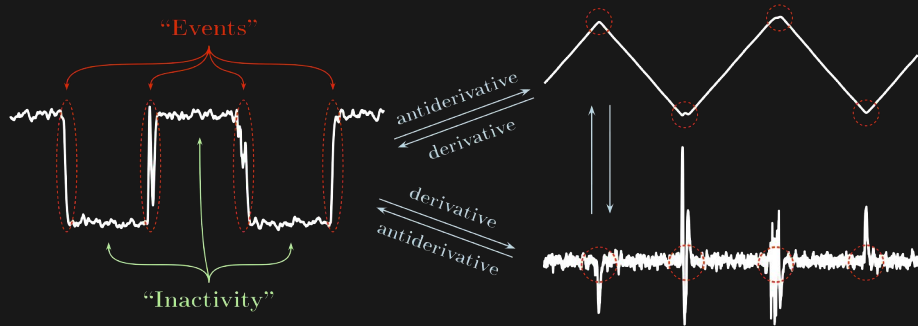
...or generated by intermittent nonlinear distortions of signal itself



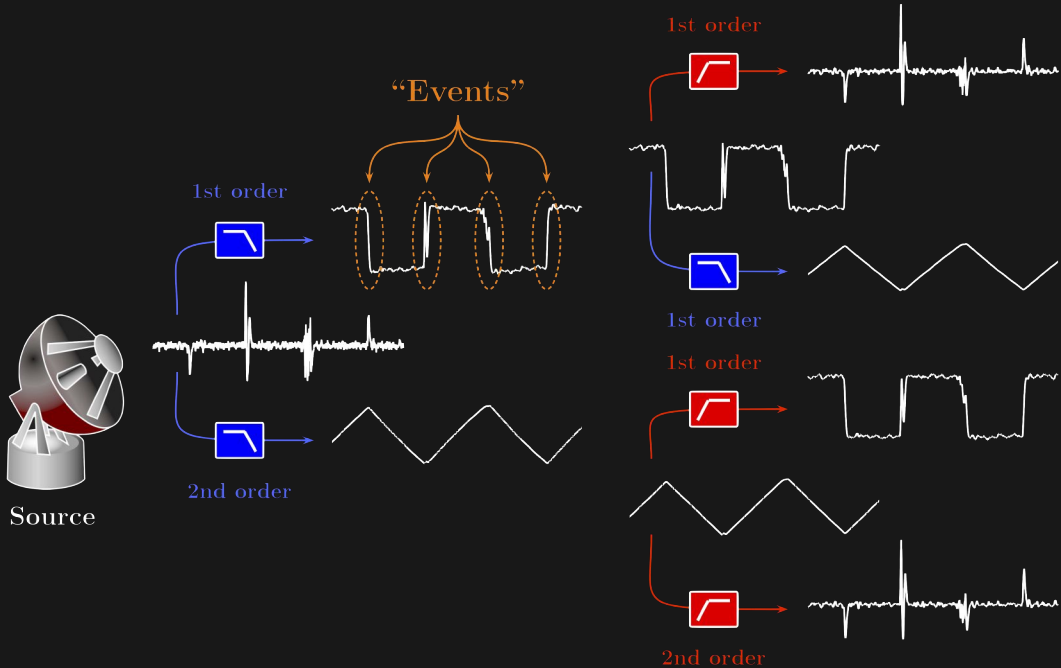
# Outlier noise's origins: “Events” separated by “inactivity”

Source of “hidden” outlier noise that disappears and reappears due to various filtering effects (including fading and multipath):

- ▶ **Analog domain filtering:** Combinations of signal and its derivatives and antiderivatives (e.g. convolution) of various orders
- ▶ **Digital domain filtering:** Combination of differencing and summation operations



# Outlier noise's origins: "Events" separated by "inactivity"

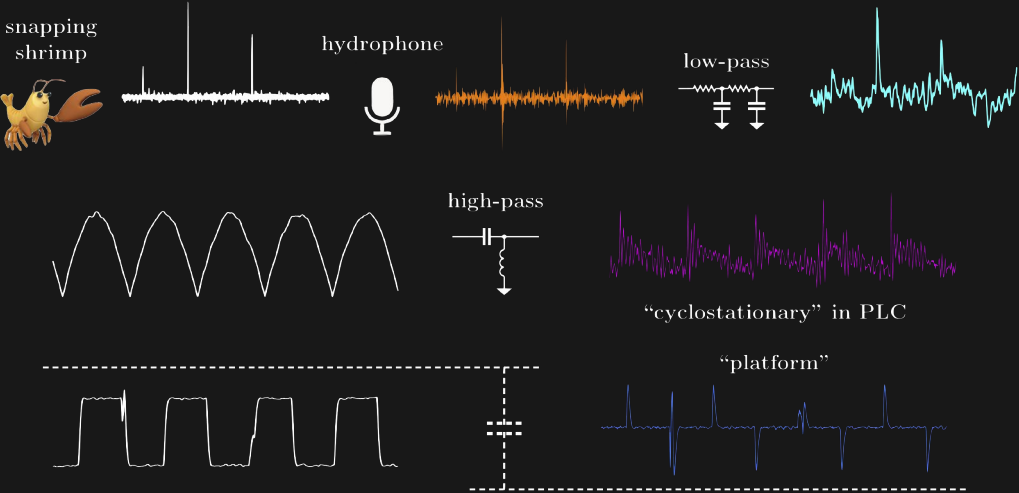


# Outlier noise: Ubiquitous but often elusive

Comes from many **natural** and **technogenic** (man-made) sources

E.g. **impulsive** noise, **shot** noise, **transient** noise, **sparse** noise, **platform** noise, **burst** noise, **popcorn** noise, **bi-stable** noise, **crackling** noise, clicks & pops, etc.

It changes “looks” or hides and reappears as it propagates



# Technogenic noise is ubiquitous . . .

E.g. close physical proximity of multiple coexisting devices,  
high-density digital circuits and multiple transmitters and receivers

▶ E.g. smartphones with  
Wi-Fi, Bluetooth, GPS;  
multiple protocols and  
frequency bands

- ▶ Cellular voice
- ▶ Cellular data
- ▶ Bluetooth
- ▶ WiFi
- ▶ GPS
- ▶ Display



- ▶ Speaker
- ▶ Microphone
- ▶ Power supplies
- ▶ Digital clocks
- ▶ Sensors
- ▶ Buses

Also: Electronics equipment in home and office; dense urban and  
industrial environment; increasingly crowded wireless spectrum  
(e.g. radar-communications, radar-radar, narrowband/UWB, etc.)

... yet its omnipresence and impact remain underappreciated





Outlier noise is omnipresent,  
yet only small fraction is apparent ...

... and how we treat problem is still in Dark Ages



Outlier noise: Why care? What works?

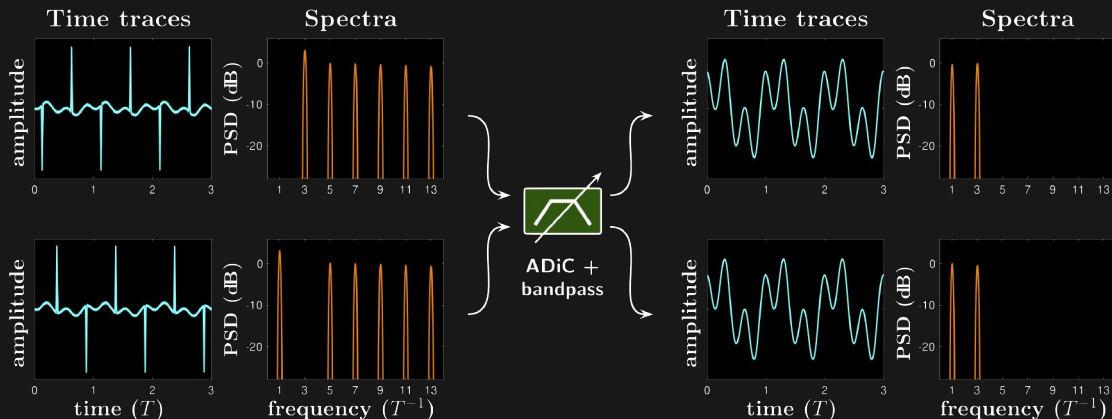
# Why care? What works?

## In-band outlier noise can be removed in real time

Nonlinear filters:

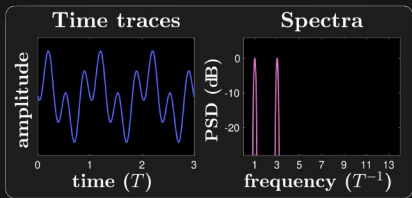
- ▶ Disproportionately affect different temporal and/or amplitude structures
- ▶ Enable mitigation levels unattainable by linear filtering (e.g. in signal band)

### Toy example: ADiC-based filtering suppresses in-band impulsive interference

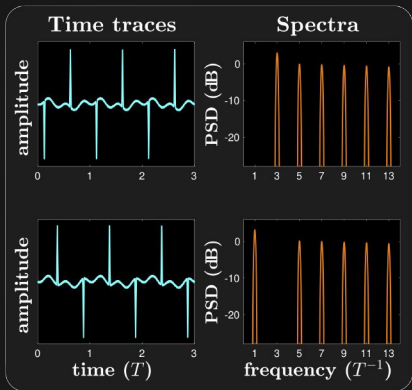


# Toy example

## Signal w/o impulsive noise



## Signal w/ impulsive noise



Linear filter



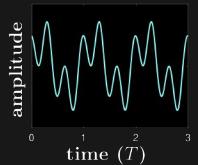
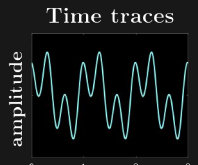
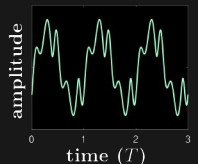
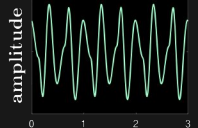
bandpass

Nonlinear filter

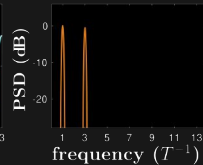
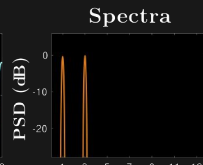
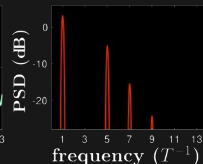
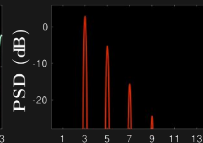


ADiC + bandpass

## Time traces



## Spectra



# Why care? What works?

CLICK ON FIGURE BELOW TO PLAY MOVIE

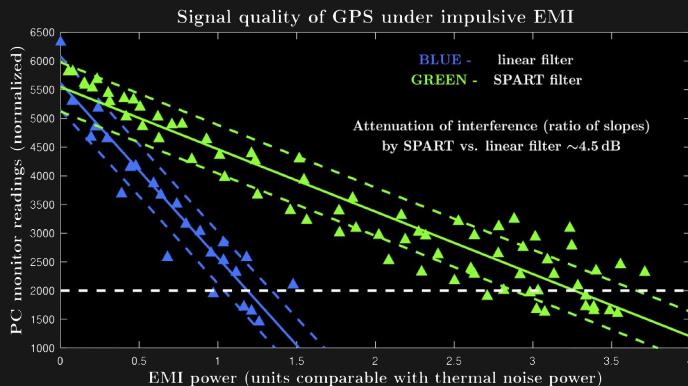
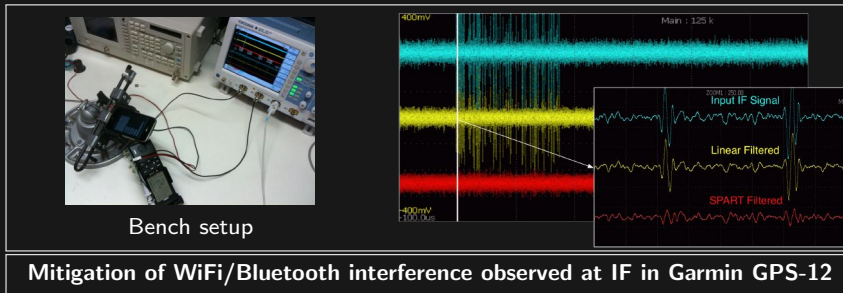
After linear filter



After ADiC-based filter



# Even crude intermittently nonlinear filters can help

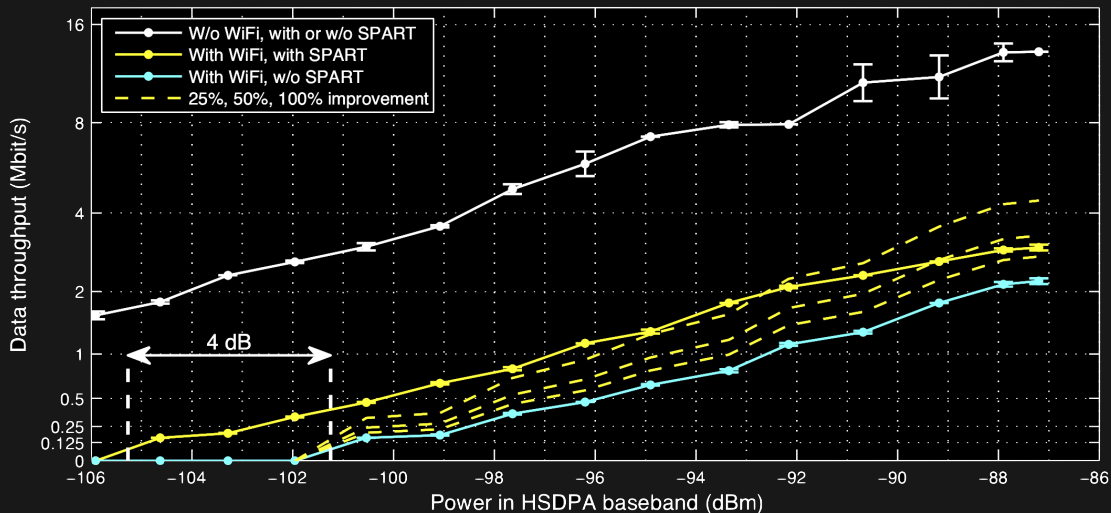


Mitigation of EMI from terrestrial communications: Test in RF anechoic chamber of GPS company

# Even crude intermittently nonlinear filters can help

## Mitigation of 2.4 GHz WiFi interference with 1.95 GHz HSDPA

HSDPA throughput vs power



**HSDPA data throughput at various signal levels with and without strong WiFi interference of constant power, and with and without SPART mitigation**

Adapted from: "Impulsive interference in communication channels and its mitigation by SPART and other nonlinear filters," EURASIP J. Adv. Signal Process., vol. 2012, no. 79, 2012



# Why care? What works? – Fact or fiction?

- ✗ “We can always directly observe outlier noise in time domain”
  - ✗ “We can observe outlier noise in power spectra/spectrograms”
  - ✗ “We can always deduce presence of outlier noise from amplitude density observations”
- ✓ Yet outlier noise can be mitigated even when it's not directly observed!**

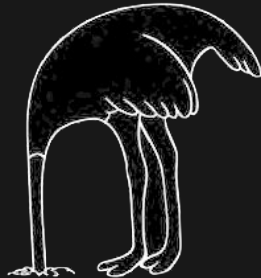
# WHY CARE? WHAT WORKS?



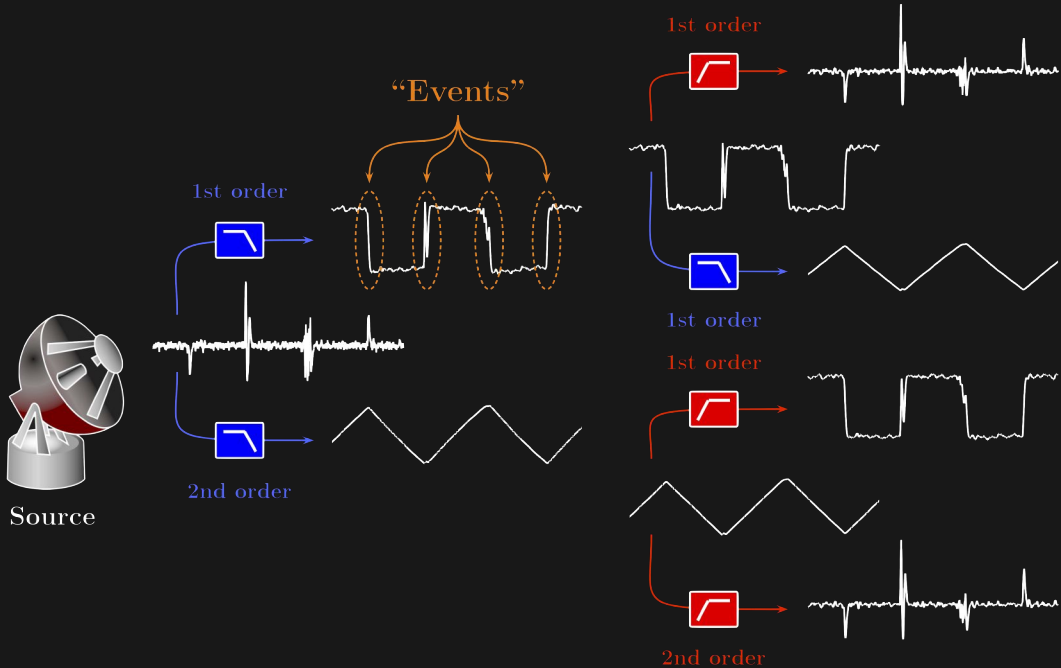
- Enables in-band real-time mitigation
- Utilizing intermittently nonlinear filters

# What hides outlier noise?

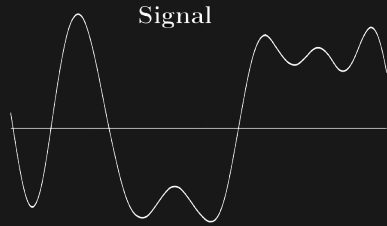
“If I don’t see it then I can ignore it!”



# #1 – General filtering effects

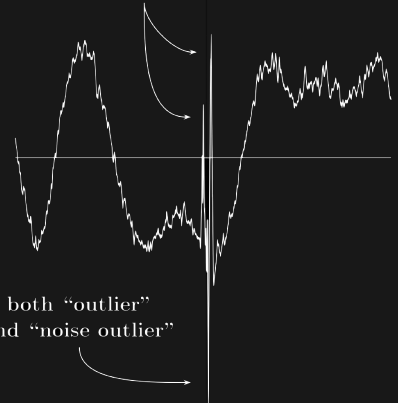


## #2 – “Outliers” vs “outlier noise” ambiguity

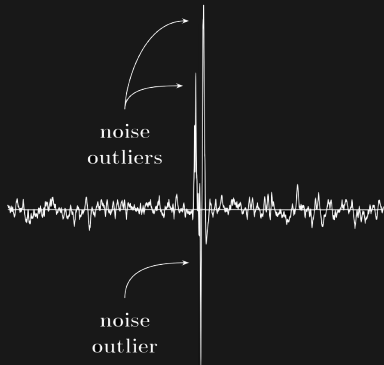


Signal + wideband noise

“not outliers”  
yet  
“noise outliers”

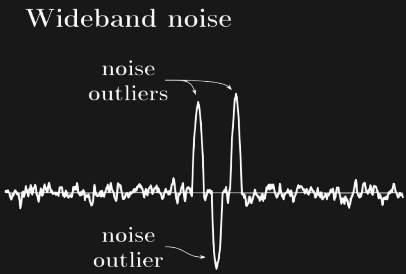
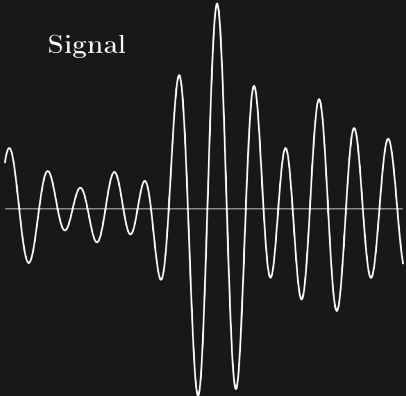


Wideband noise

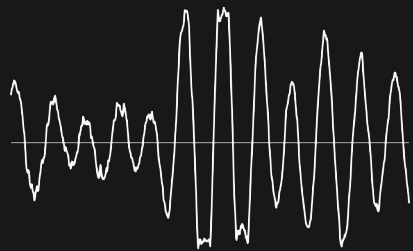


both “outlier”  
and “noise outlier”

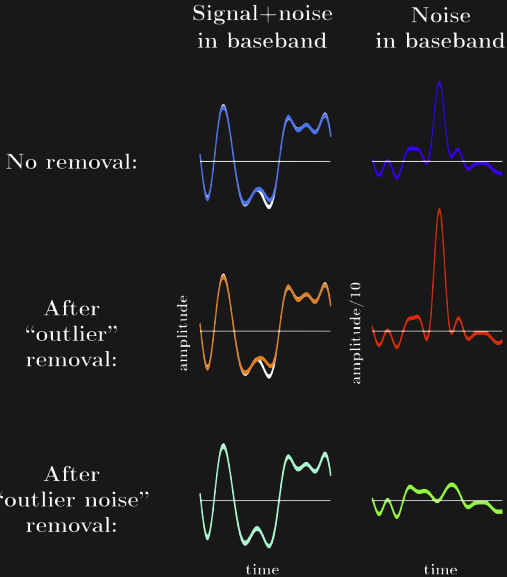
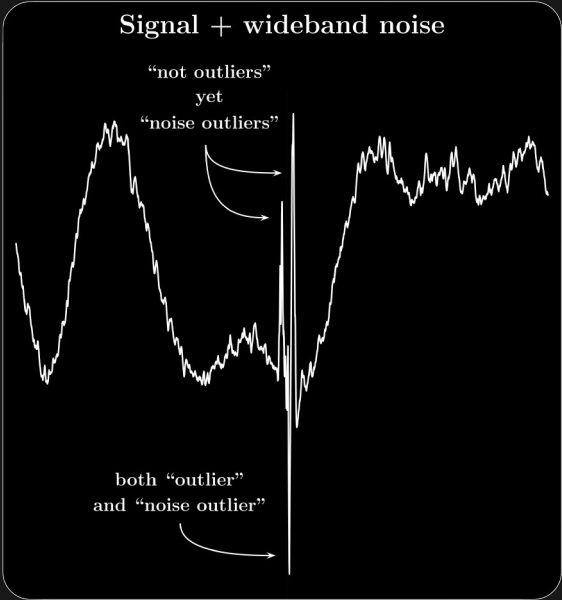
# #2 – “Outliers” vs “outlier noise” ambiguity: Clipping



Signal + wideband noise:  
“Clipped” signal with no outliers



# #2 – “Outliers” vs “outlier noise” ambiguity

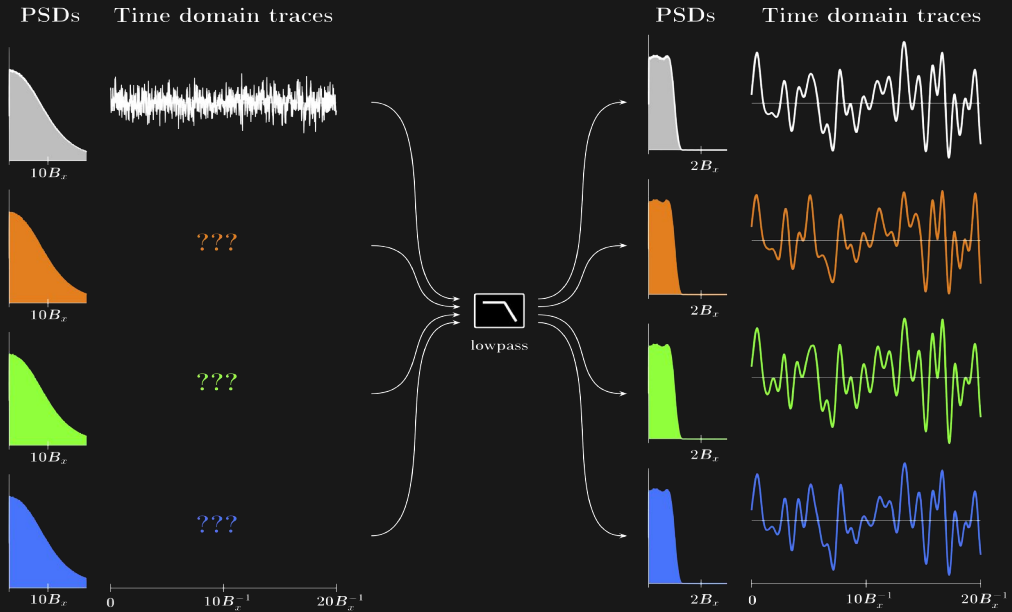


Removing “outliers” degrades baseband signal

We want to remove outlier noise!

# What hides outlier noise?

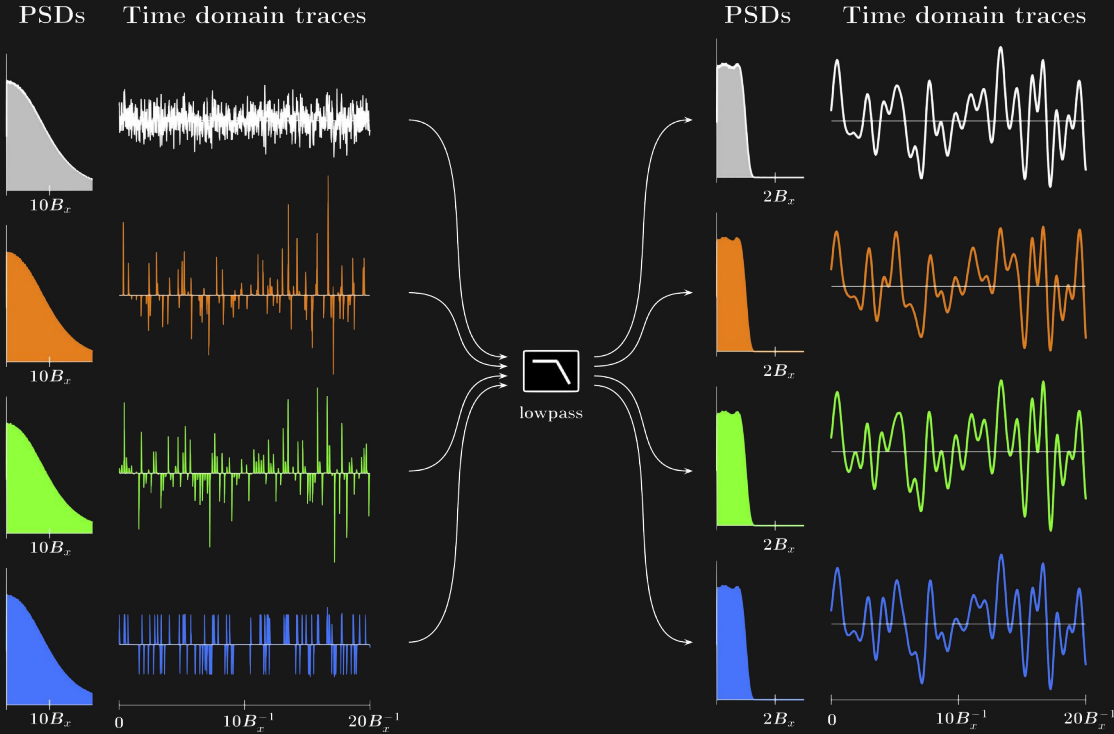
- #3 – Insufficient observation bandwidth
- #4 – Spectral ambiguity



Can it be removable outlier noise instead?



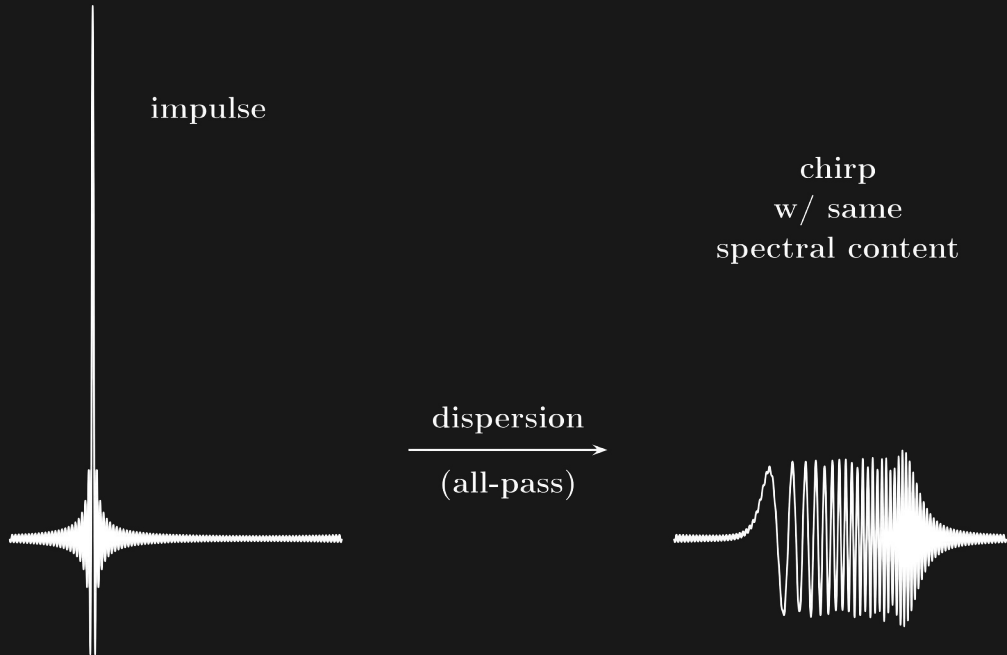
# Wide-bandwidth time-domain observations are required!



# What hides outlier noise?

#1 – General filtering effects

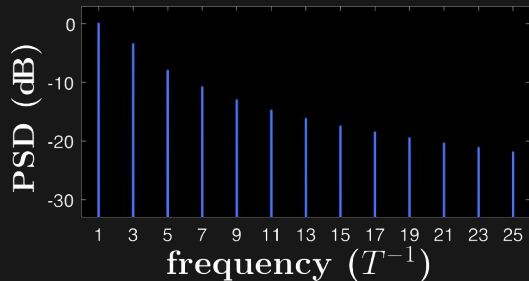
#4 – Spectral ambiguity



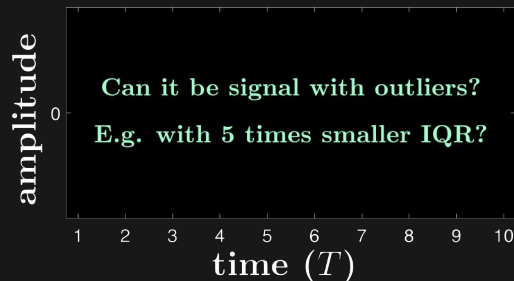
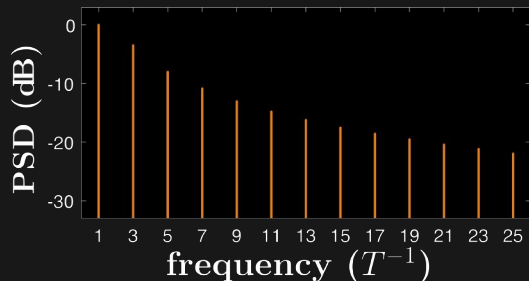
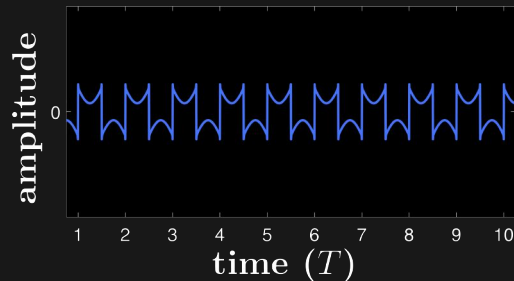
# What hides outlier noise?

## #4 – Spectral ambiguity

### Spectra



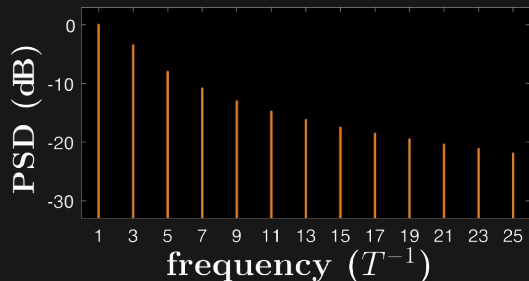
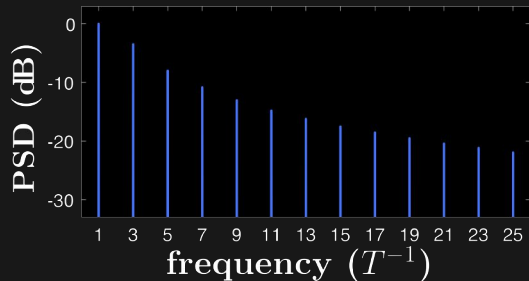
### Time traces



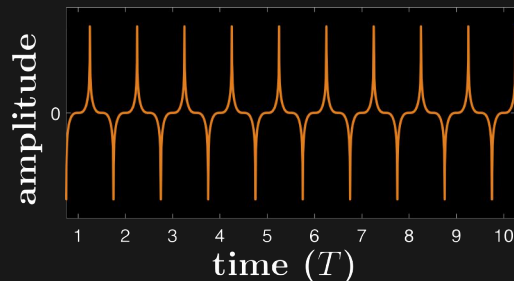
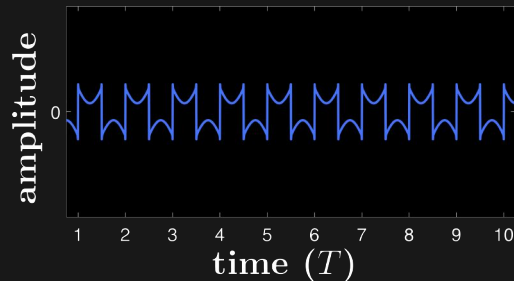
# What hides outlier noise?

## #4 – Spectral ambiguity

### Spectra

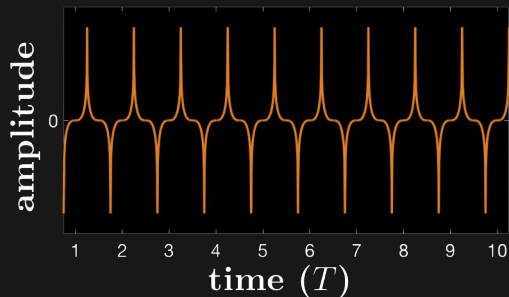
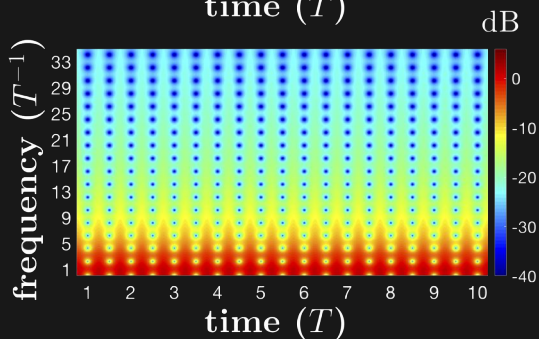
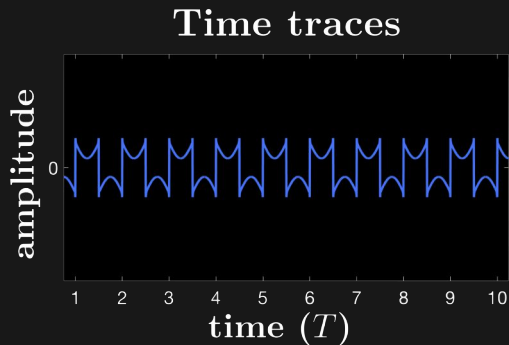
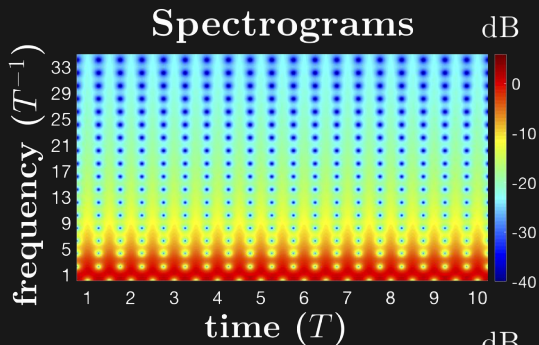


### Time traces



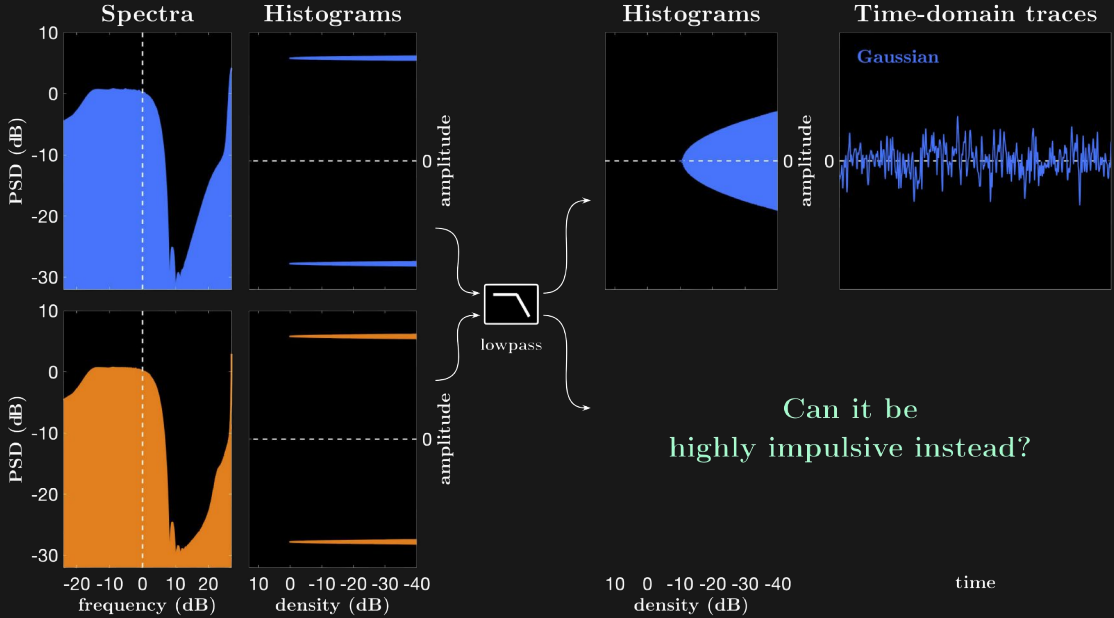
# And how about spectrograms?

## #4 – Spectral ambiguity



# What hides outlier noise?

- #5 – Ambiguity of amplitude densities
  - #6 – Wide range of powers across spectrum
- E.g. for bi-stable process or two-level PWM:

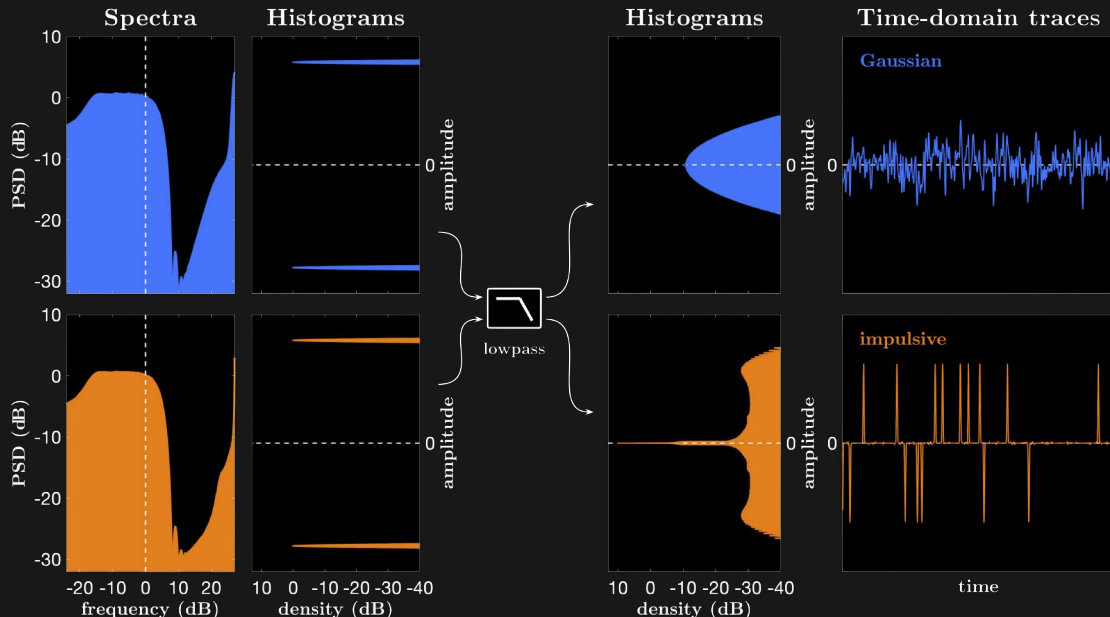


Can it be highly impulsive instead?

# What hides outlier noise?

#5 – Ambiguity of amplitude densities

#6 – Wide range of powers across spectrum

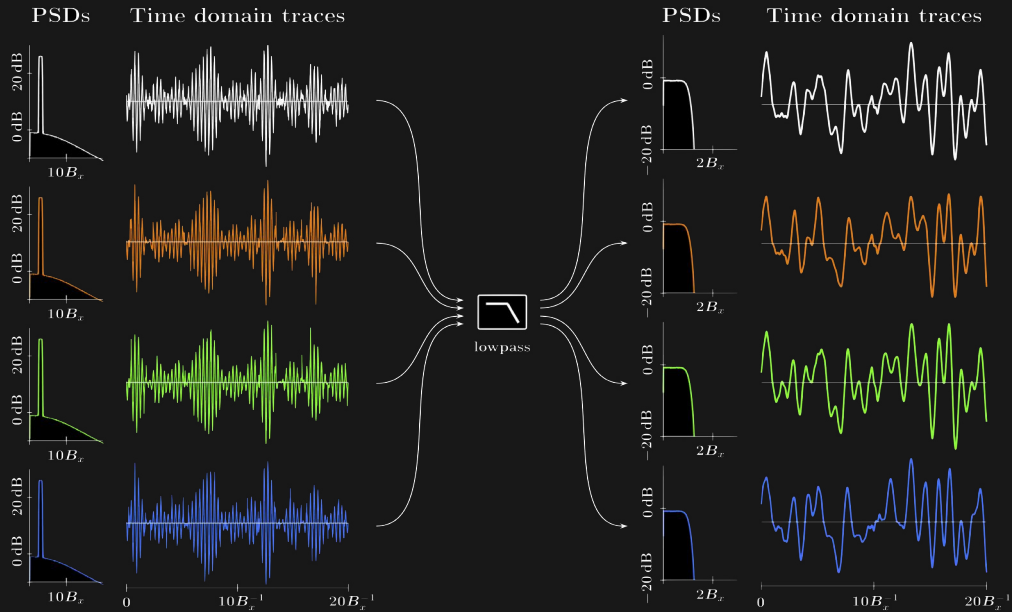


Bandwidth itself is not enough!

# What hides outlier noise?

#6 – Wide range of powers across spectrum

E.g. for strong adjacent-channel interference:



Is there removable outlier noise affecting baseband?



# WHAT HIDES OUTLIER NOISE?

TAKE  
AWAY

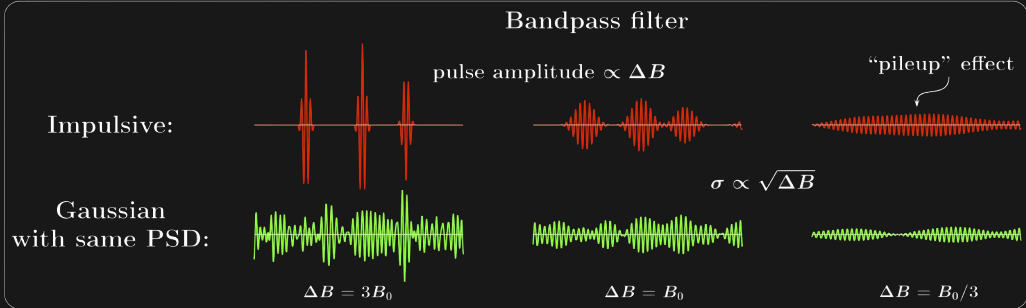
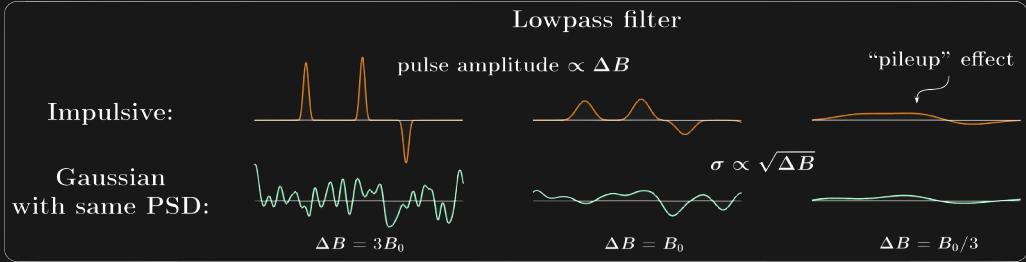
- Ambiguous and elusive nature
- Inadequacy of tools used for its observation and/or quantification

What hides outlier noise?

# What hides outlier noise?

#3 – Insufficient observation bandwidth (e.g. below “pileup threshold”)

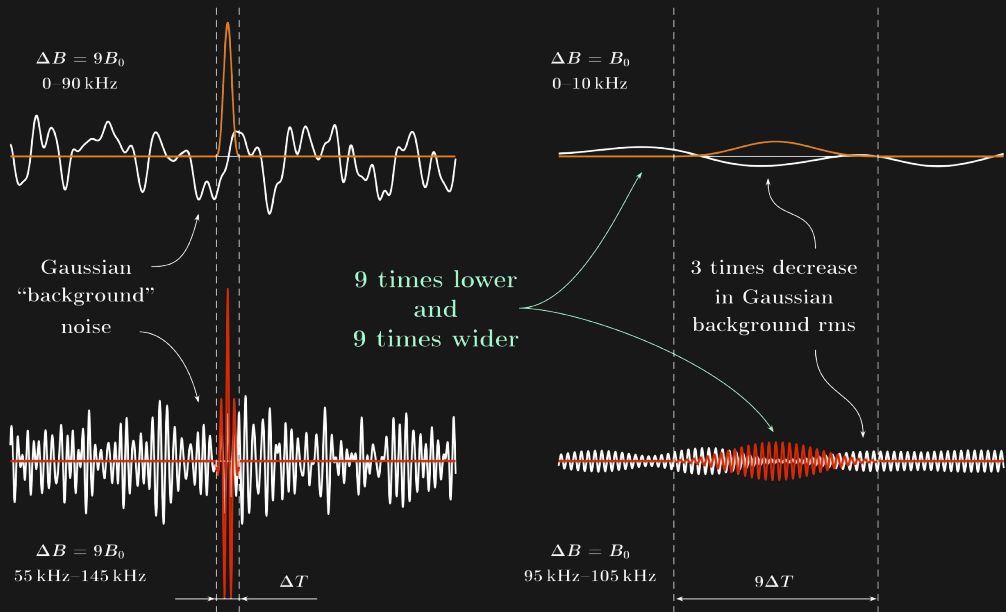
Time  $\times$  bandwidth is constant  
 $\Rightarrow$  Definition of “local” depends on bandwidth



Reduction in bandwidth “hides” outlier noise

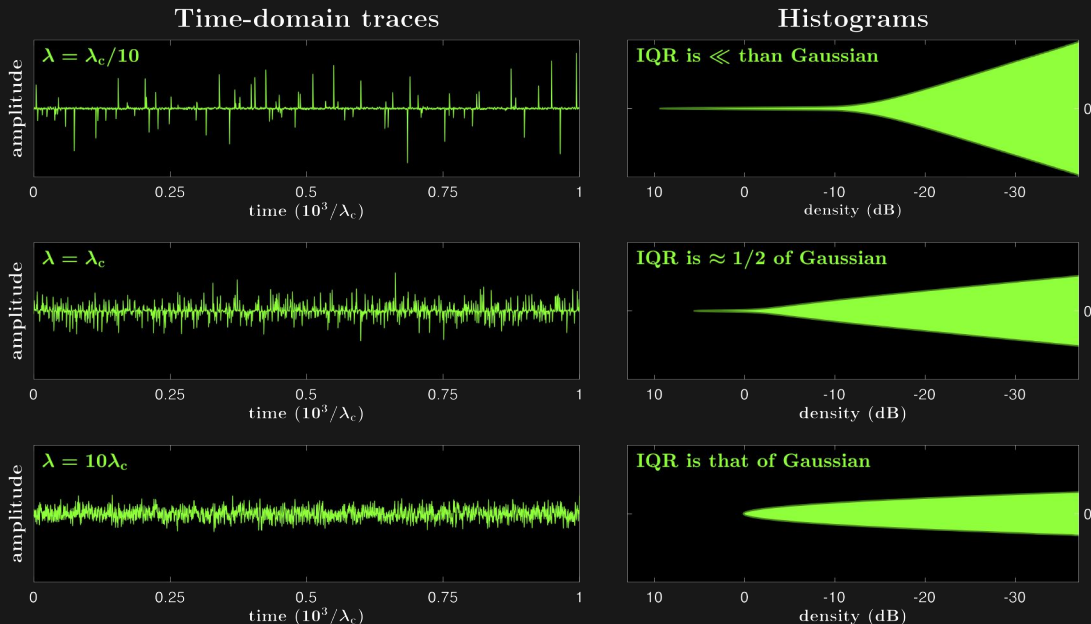
# What hides outlier noise?

#3 – Insufficient observation bandwidth  
Even above pileup threshold:



# What hides outlier noise?

#3 – Insufficient observation bandwidth: High rate of outlier-generating events  
E.g. filtered (w/ 2nd order Bessel) random noise becomes Gaussian at high rate:



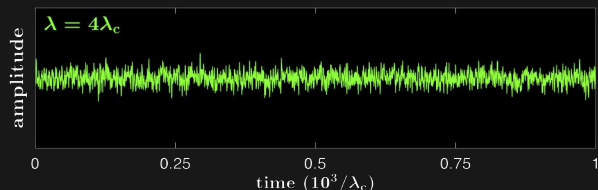
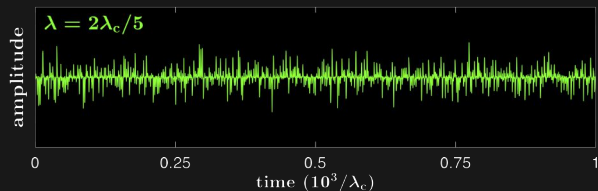
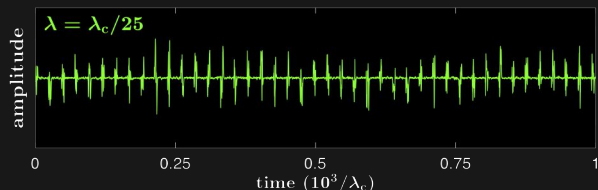
$\lambda_c$  is bandwidth  $B_0$  of 2nd order Bessel filter divided by Gaussian time-bandwidth product:  $\lambda_c \approx 2.27B_0$

# What hides outlier noise?

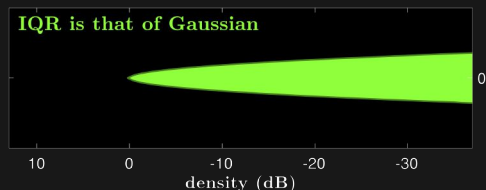
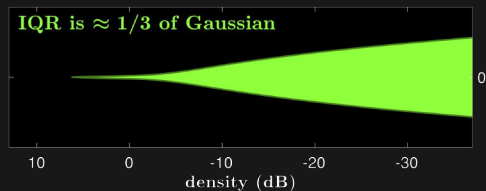
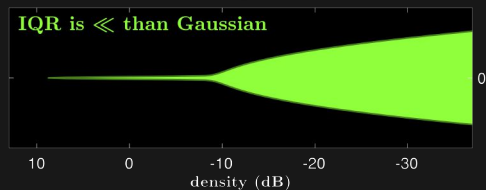
#3 – Insufficient observation bandwidth: High rate of outlier-generating events

Same for “periodic” Gaussian burst noise with 20% duty cycle:

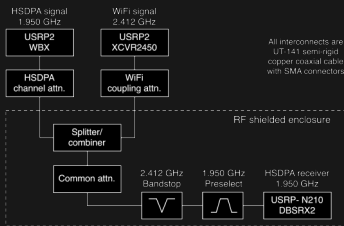
Time-domain traces



Histograms



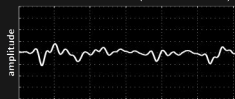
# High rate of outlier-generating events – Experimental evidence



Hardware setup

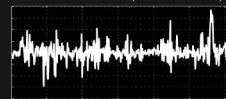
4 MHz anti-aliasing

WiFi in HSDPA band (ADC before RRC)

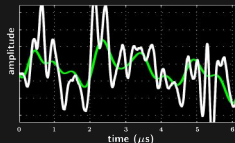


40 MHz anti-aliasing

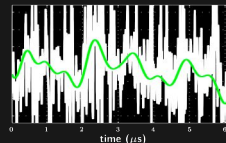
WiFi in HSDPA band (ADC before RRC)



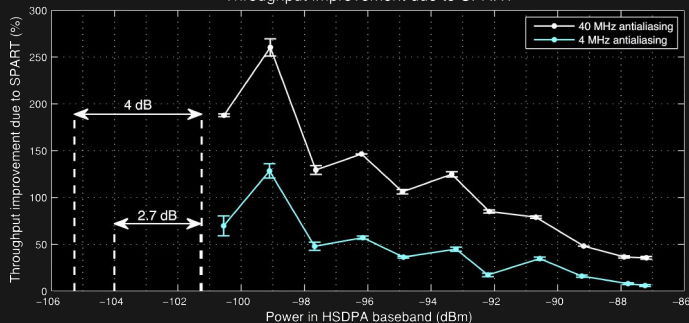
HSDPA + WiFi interference (before RRC)



HSDPA + WiFi interference (before RRC)



Throughput improvement due to SPART

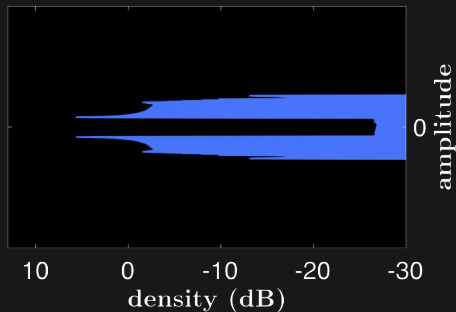


Adapted from: "Impulsive interference in communication channels and its mitigation by SPART and other nonlinear filters," EURASIP J. Adv. Signal Process., vol. 2012, no. 79, 2012

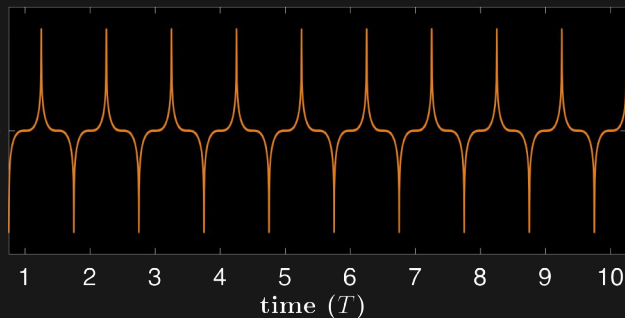
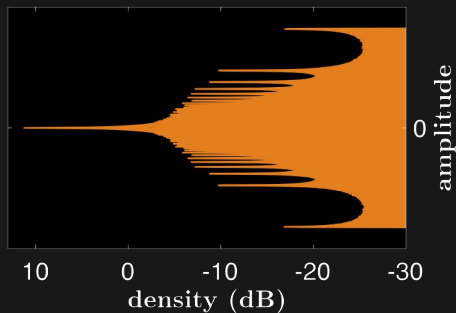
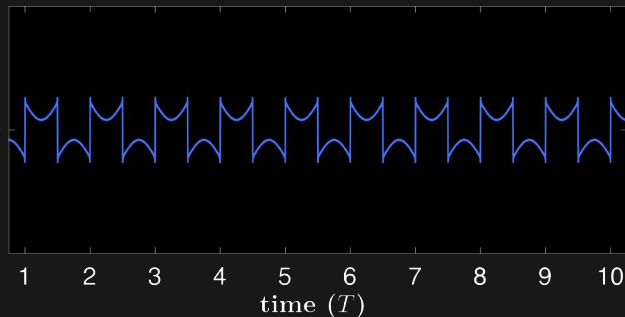
# What hides outlier noise?

But what about amplitude densities?

## Histograms



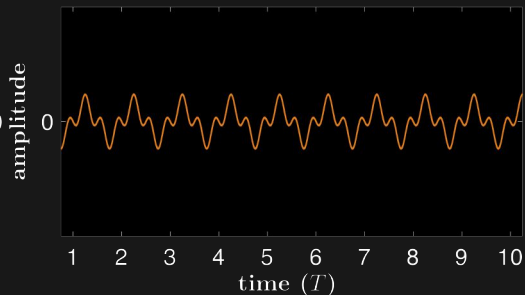
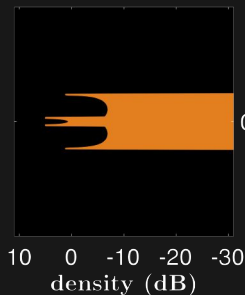
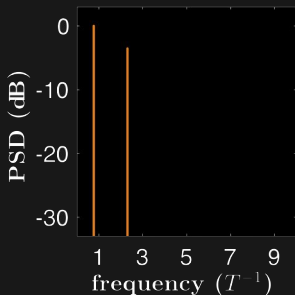
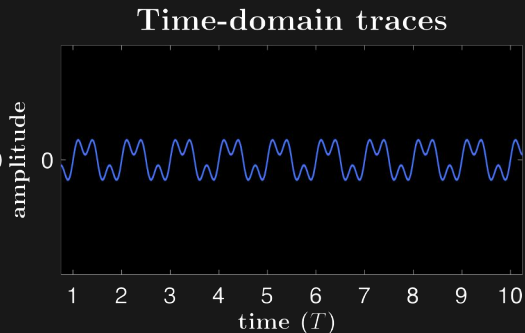
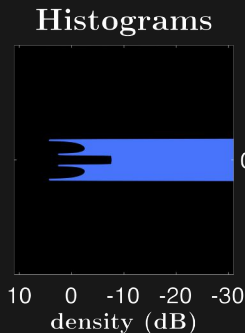
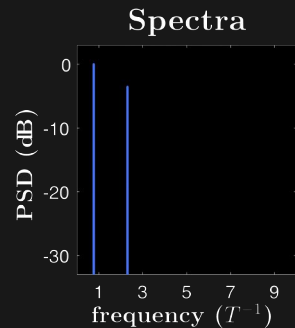
## Time-domain traces





# Bandwidth is still important!

Less wiggle room:

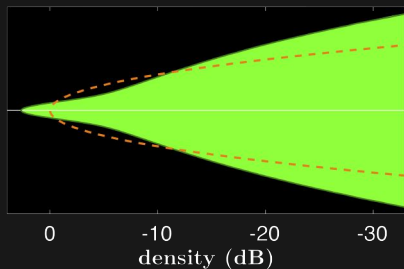
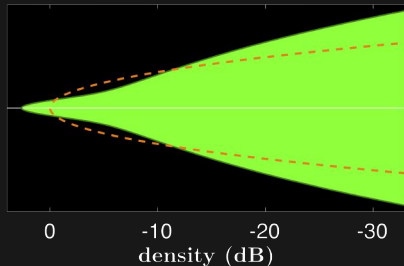


# What hides outlier noise?

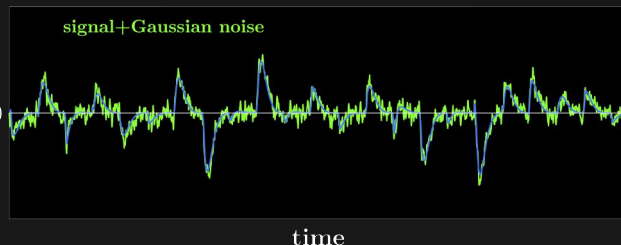
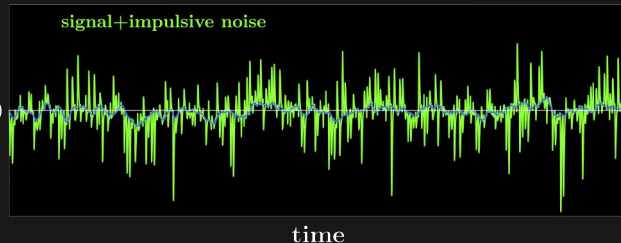
#5 – Ambiguity of amplitude densities

E.g. for two identical Gaussian mixture distributions:

Histograms



Time-domain traces

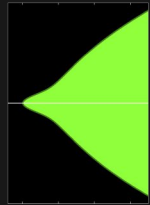


E.g. radar, communications, radiation detection, ToA applications, etc.

# Bandwidth is still important!

## #5 – Ambiguity of amplitude densities

Histograms

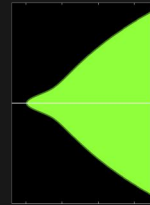


0 -10 -20 -30

Time-domain traces



Histograms

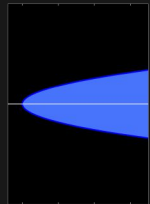


0 -10 -20 -30

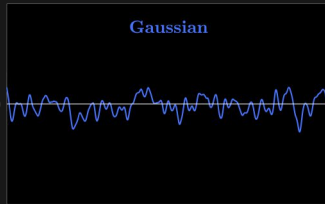
Time-domain traces



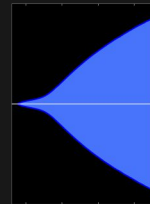
lowpass



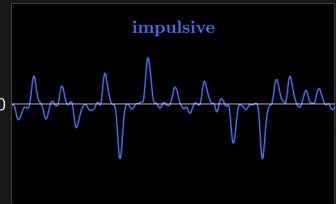
0 -10 -20 -30  
density (dB)



time



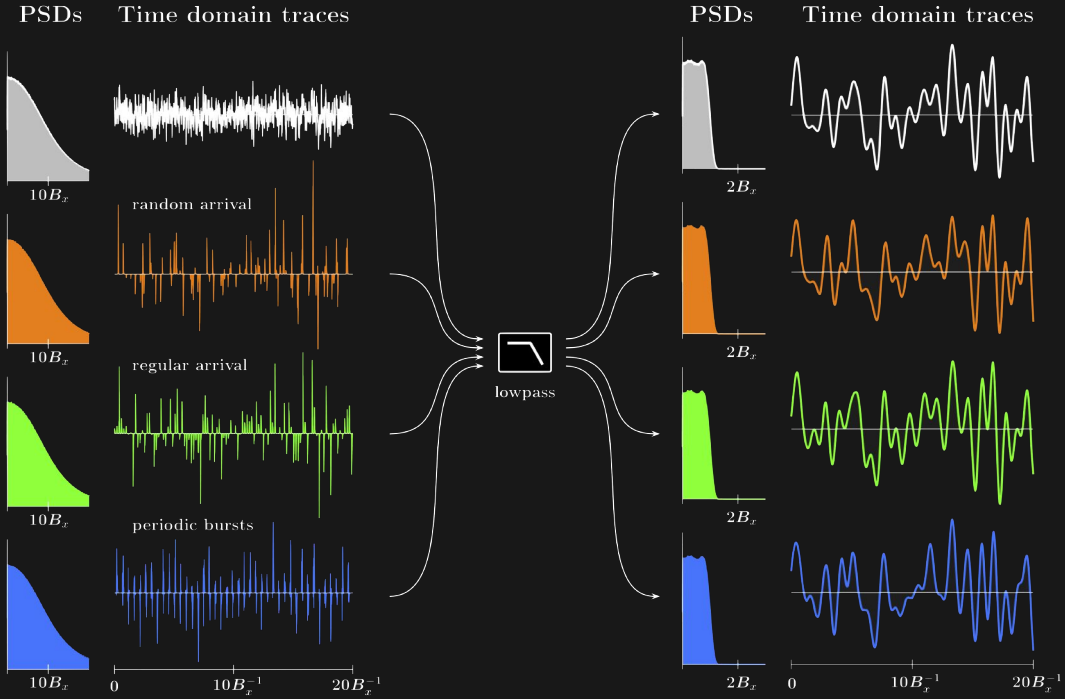
0 -10 -20 -30  
density (dB)



time

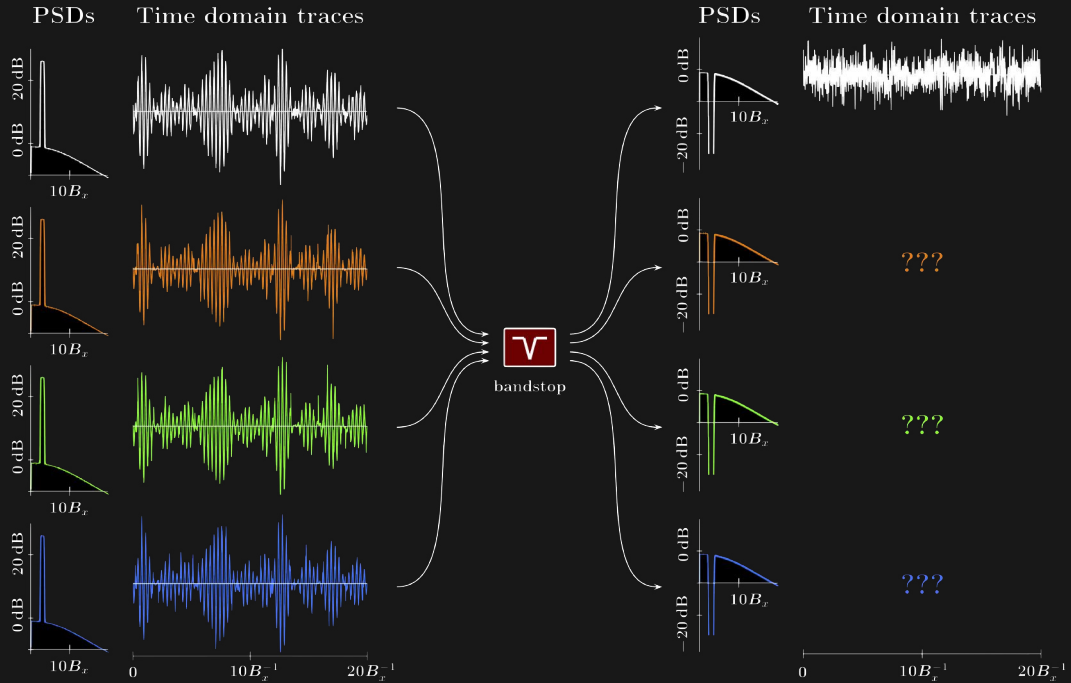
# What hides outlier noise?

## #6 – Wide range of powers across spectrum



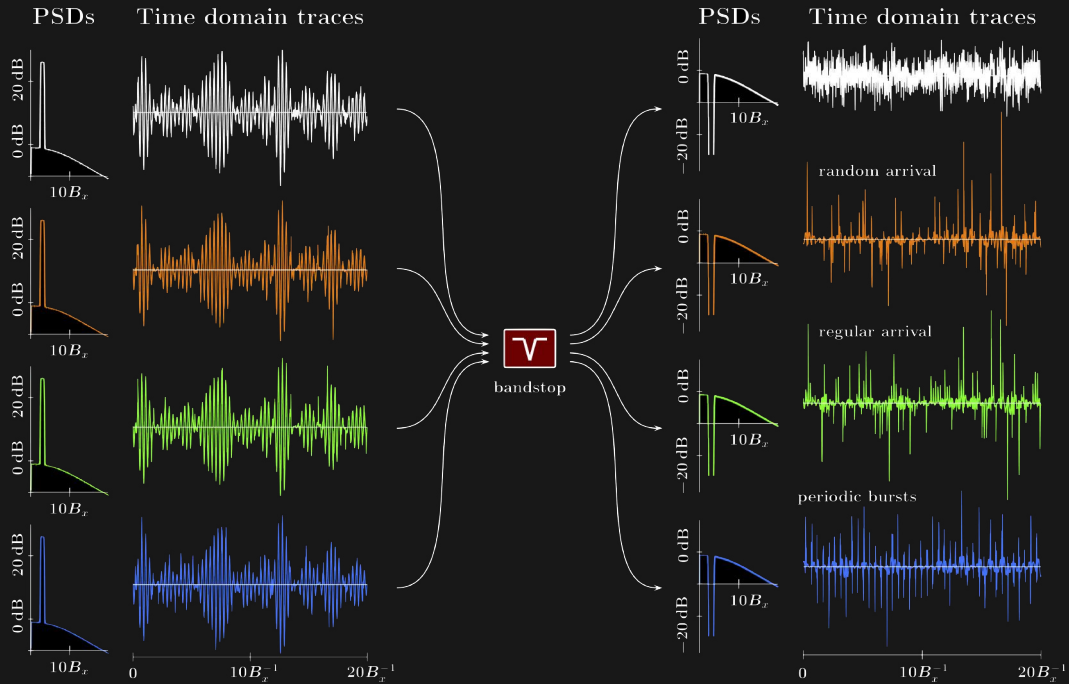
# #6 – Wide range of powers across spectrum

Can we still observe outlier noise?



# #6 – Wide range of powers across spectrum

Can we still observe outlier noise?



# WHAT HIDES OUTLIER NOISE?

TAKE  
AWAY

- Insufficient observation bandwidth
- Presence of other signals

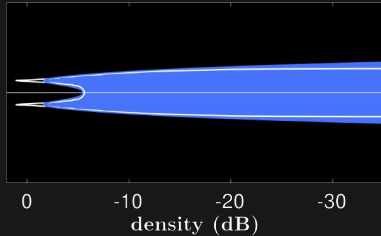
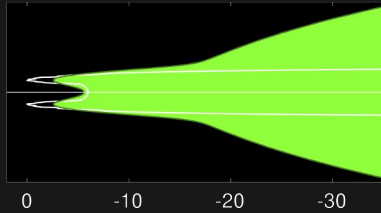
# Outlier noise: Observation vs. mitigation



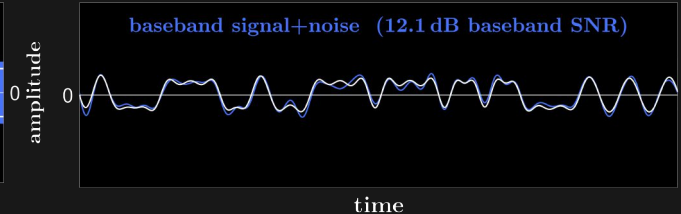
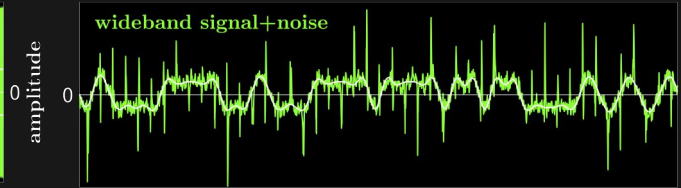
# Outlier noise: Observation vs. mitigation

Reducing bandwidth “hides” outlier noise:

Histograms



Time-domain traces



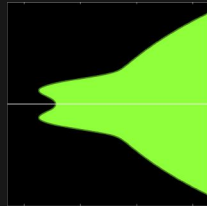
Can we capitalize on pileup effect and/or amplitude-bandwidth proportionality?

# Outlier noise: Observation vs. mitigation

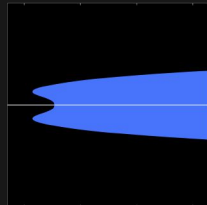
## Observing outlier noise in “difference signal”:

### Histograms

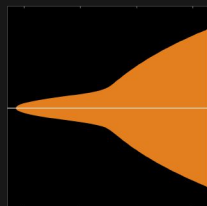
Wideband  
signal+noise:



Baseband  
signal+noise:



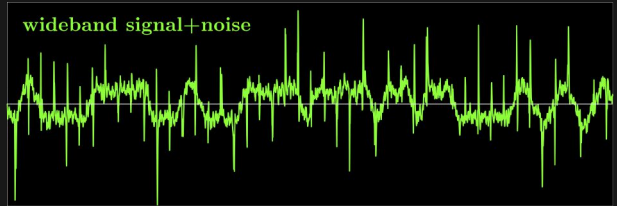
Difference  
signal:



### Time-domain traces

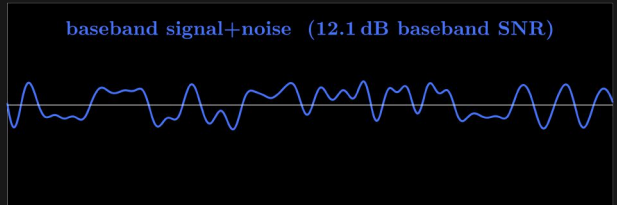
wideband signal+noise

amplitude



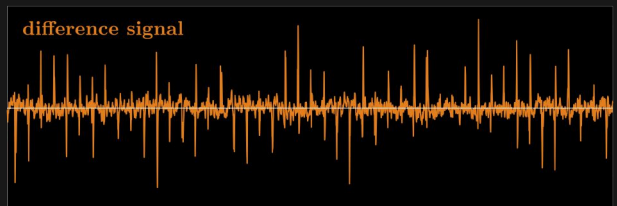
baseband signal+noise (12.1 dB baseband SNR)

amplitude



difference signal

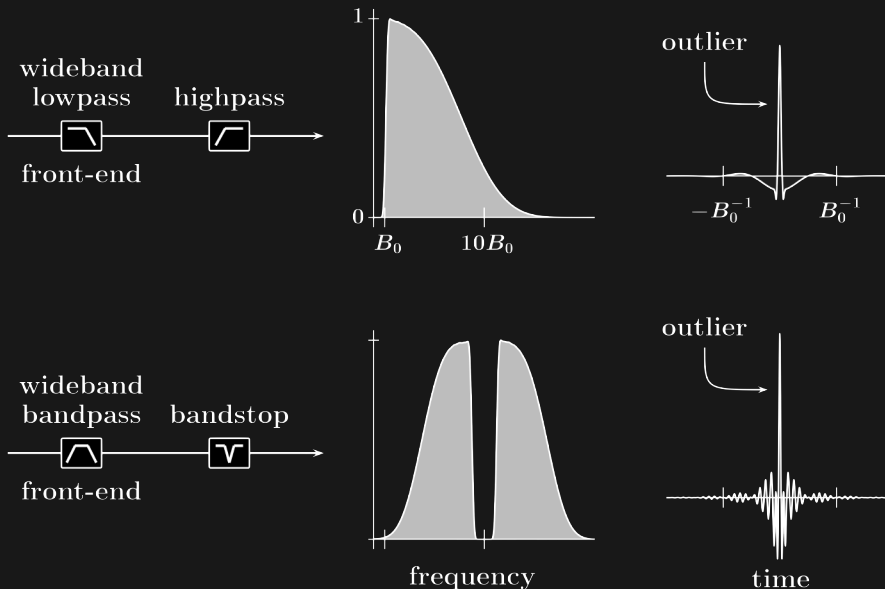
amplitude



time

# “Excess band” for difference signal

## “Excess band” responses

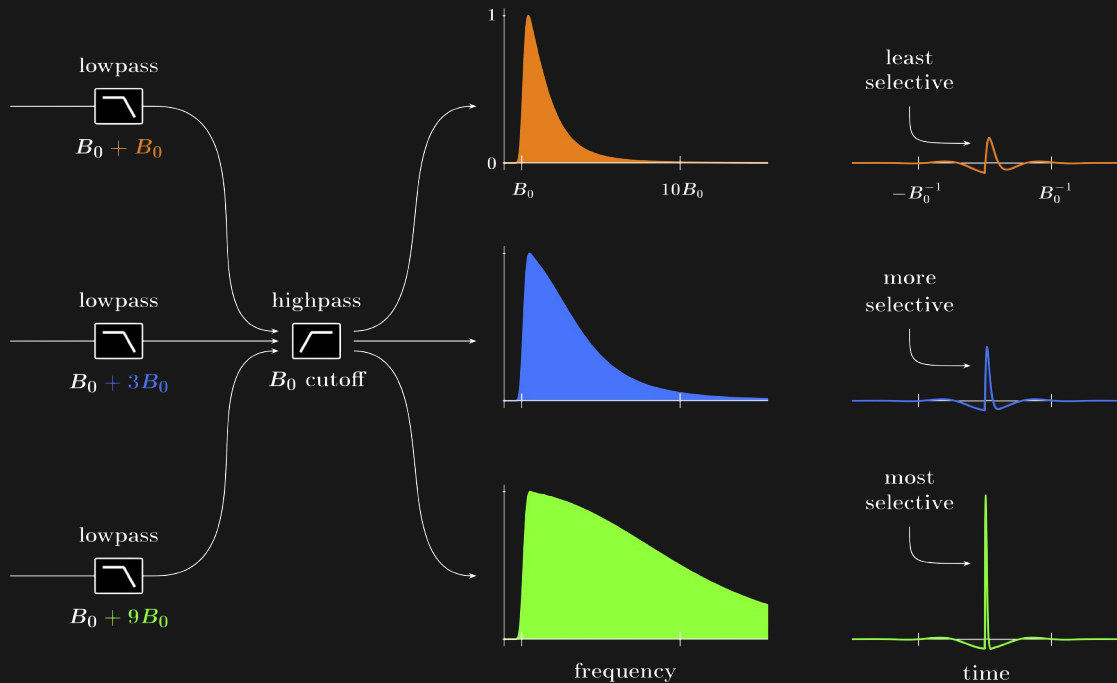


Use front-end filter with small time-bandwidth product for higher selectivity

# Effect of “excess bandwidth” on excess band selectivity

2nd order Bessel

“Excess band” responses



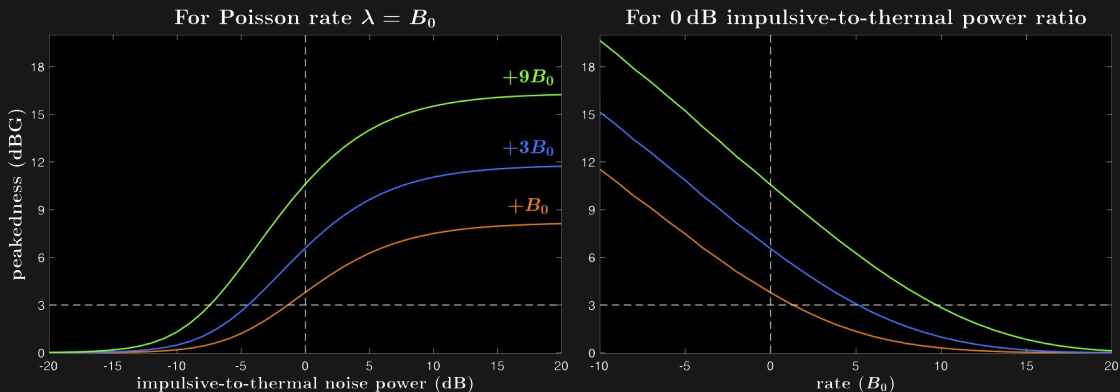
# “Peakedness” as indicator of mitigation potential

In units of “decibels relative to Gaussian” (dBG):

$$K_{\text{dBG}}(x) = 10 \lg \left[ \frac{\langle (x - \langle x \rangle)^4 \rangle}{3 \langle (x - \langle x \rangle)^2 \rangle^2} \right]$$

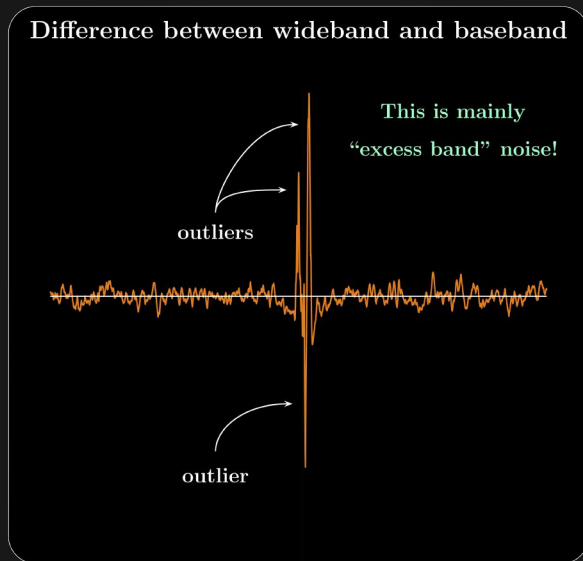
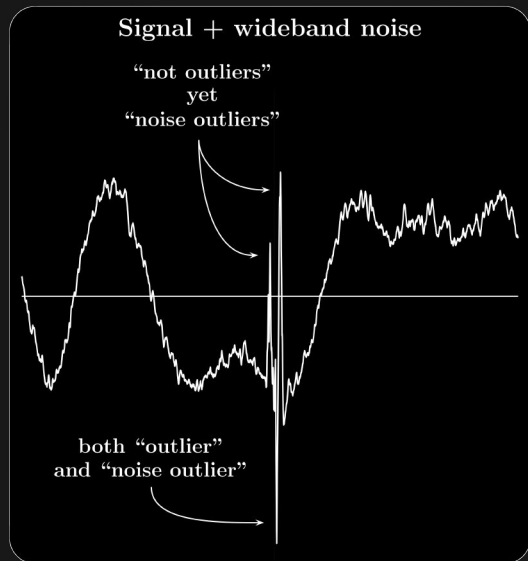
– kurtosis in relation to kurtosis of Gaussian (aka normal) distribution

E.g. for thermal+Poisson noise in different excess bands:



“Pileup rates” and “mitigable SNRs” are lower with less excess bandwidth

# Outlier noise: Observation vs. mitigation



But what to do with outlier noise component affecting baseband?

Bandwidth itself is not enough!

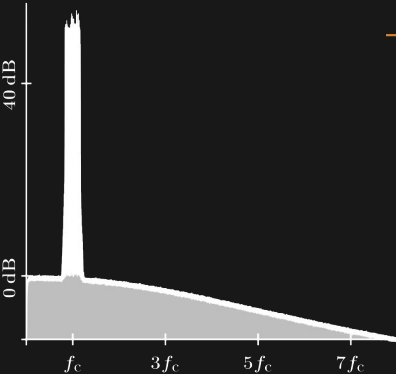
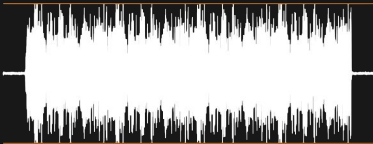
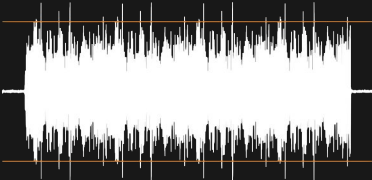
Excess-band observation

yet

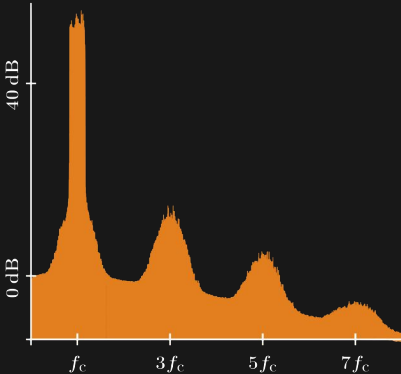
in-band mitigation

# Outlier noise: Observation vs. mitigation

E.g. for intermittent nonlinear distortions (“clipping”) of passband signal:



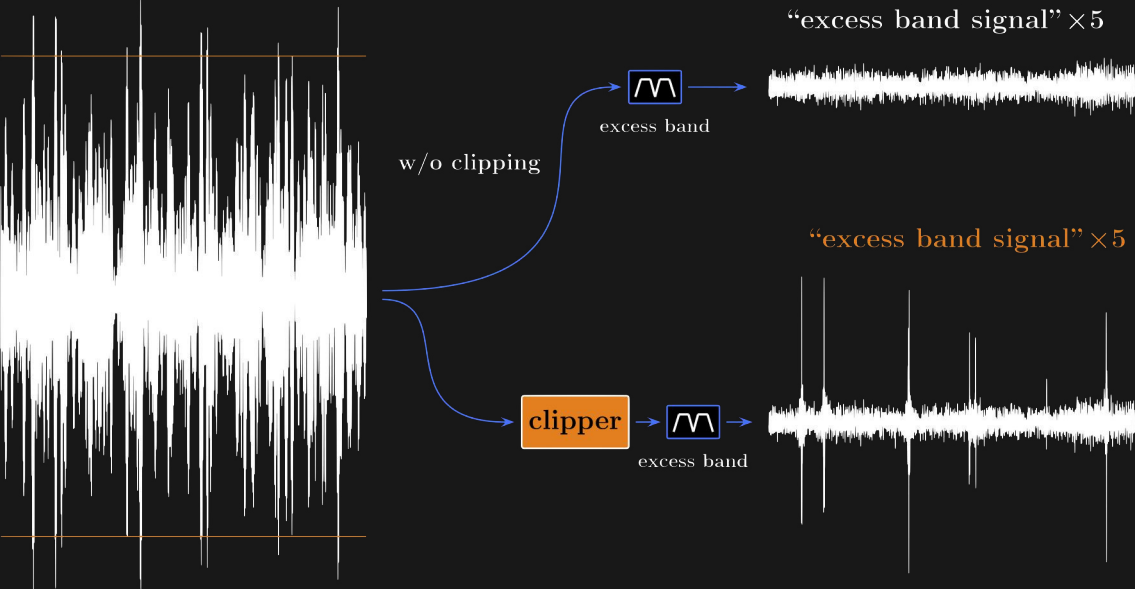
clipper





# Outlier noise: Observation vs. mitigation

E.g. for clipping distortions:



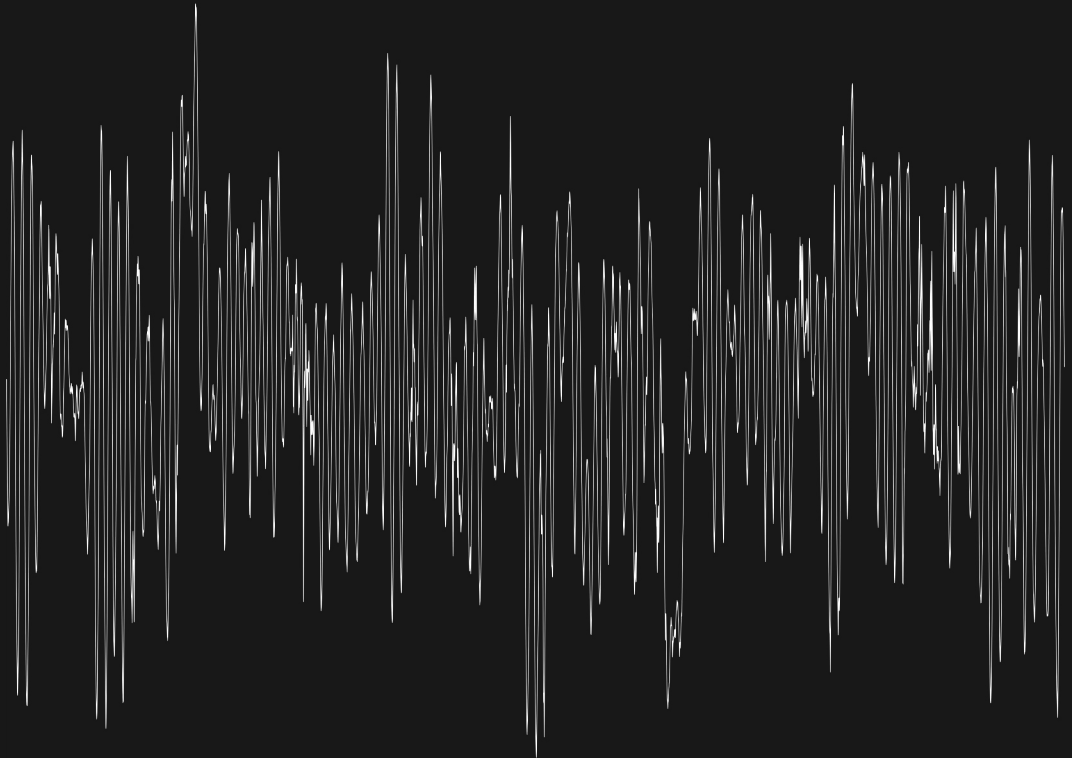
# HOW TO OBSERVE OUTLIER NOISE?



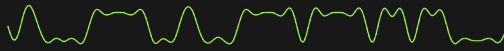
- Utilize excess band
- Block those signals that obscure

# Complex signal+noise compositions

# Complex signal+noise compositions



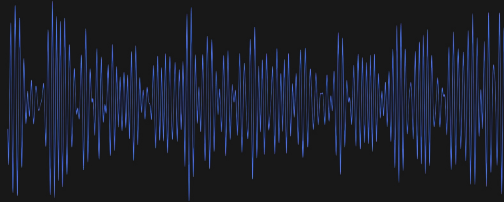
# Complex signal+noise compositions: Deconstruction



**Signal of interest**



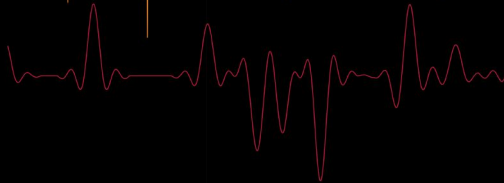
**Wideband thermal noise**  
(ever-present)



**Wideband interference**  
(e.g. adjacent transmitters)



**Wideband outlier noise**  
(e.g. including such as platform,  
OOB, IMD, natural sources, etc.)  
– may or may not be apparent in baseband







**Narrow-band outlier interference**  
(e.g. intentional)

**Mitigable beyond levels achievable by linear filtering**

# Complex signal+noise compositions

1. Mitigate wideband noise outliers first
  - before bandwidth reduction
2. Remove other wideband interference outside of signal's band
  - using linear filter(s)
3. Reduce narrow-band outliers
  - as appropriate from *a priori* knowledge of signal of interest's structure

# What's so special about outlier noise/interference?

-  Tricky to detect
-  Hard to track and quantify
-  Hides and reappears
-  Enables “extra” mitigation

## Part II

# Methodology and tools for outlier noise mitigation



# Part II: Methodology and tools for outlier noise mitigation

## ADiC components and their implementation

ADiC as main building block

Basic ADiC structure

QTFs for robust range

Much better way: Feedback-based ADiC

## ADiC-based outlier noise filtering

Spectral reshaping by ADiC and *efecto cucaracha*

CAF: Removing outlier noise while preserving signal of interest

CAF vs linear: Effect on channel capacity

“No harm” (default) CAF configurations

## Analog vs digital

Digital: Where to get bandwidth?

Addressing complex interference scenarios

Practical configurations: CAF for chirp signals

Practical configurations: CAF for OFDM

CAF for clipping distortions

Designing development & testing platform

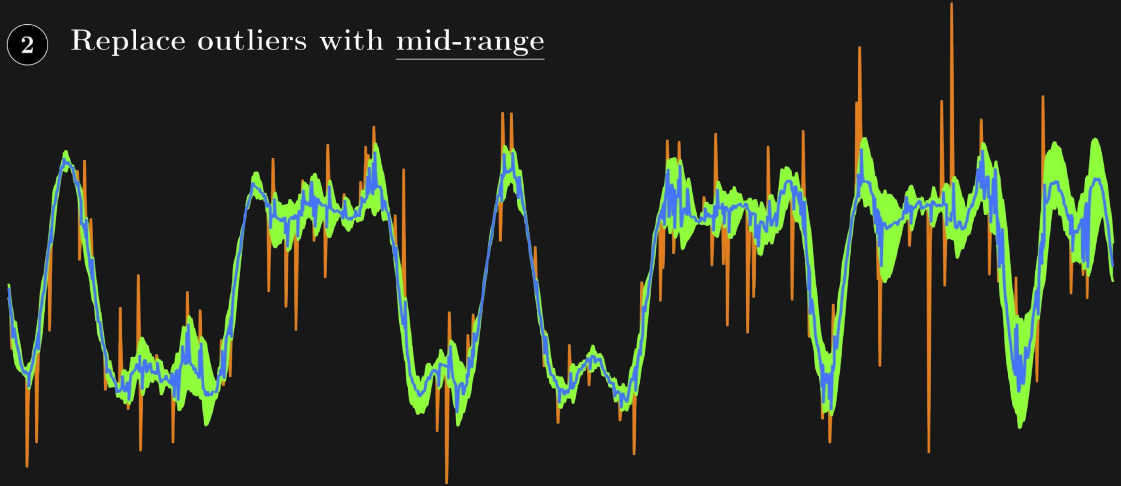
## Broader picture

# ADiC components and their implementation

# Analog Differential Clipper (ADiC) as main building block

Removing outlier noise while preserving signal of interest:

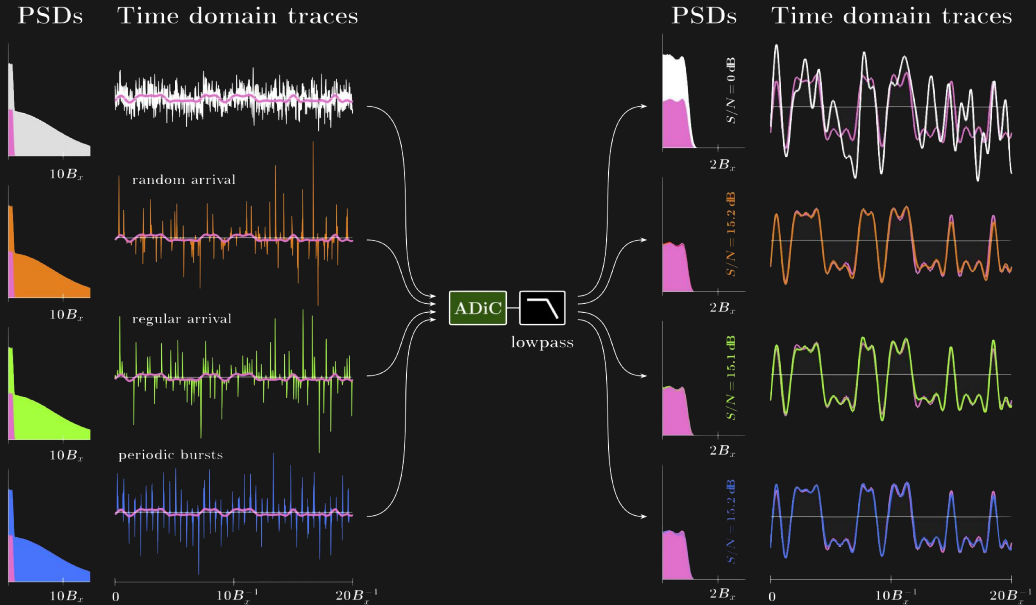
- 1 Establish robust range
- 2 Replace outliers with mid-range



E.g. baseband SNR 20 dB  $\rightarrow$  30 dB (+50% capacity)

# ADiC's basic function

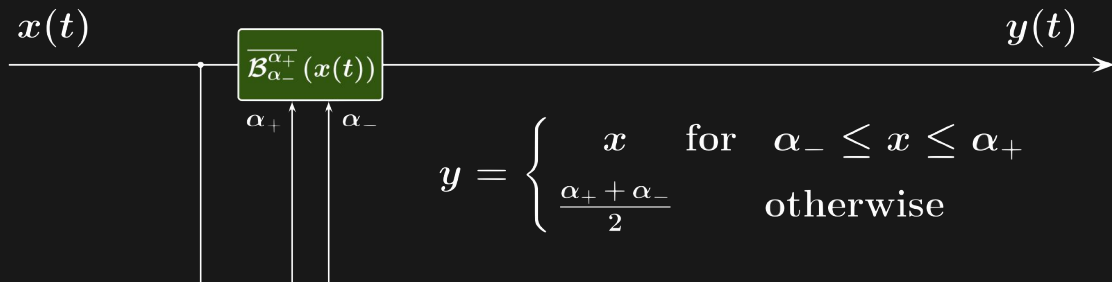
Do both – set range and remove outliers – **when noise dominates**  
– Continuous-time (“analog”) filtering akin to digital Hampel filtering



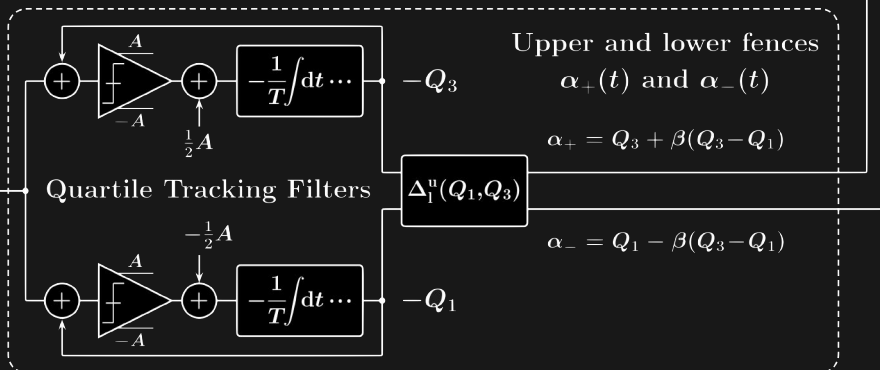
Digital ADiC is only  $\mathcal{O}(1)$  per output value in both time and storage

# Basic ADiC structure

## DIFFERENTIAL BLANKER

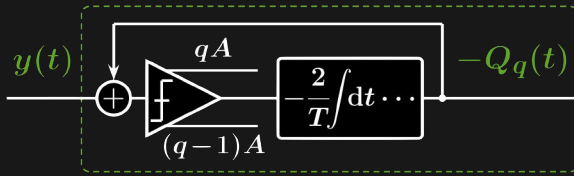


### Robust Range Circuit (parameters $\mu = A/T$ and $\beta$ )



# Quantile Tracking Filters (QTFs) for robust range/mid-range

## Quantile Tracking Filter



$$\frac{d}{dt} Q_q = \frac{A}{T} [\text{sgn}(y - Q_q) + 2q - 1]$$

– approximates (“tracks”)  $q$ -th quantile of  $y(t)$

E.g.  $[\alpha_-, \alpha_+] = [Q_{[1]} - \beta (Q_{[3]} - Q_{[1]}), Q_{[3]} + \beta (Q_{[3]} - Q_{[1]})]$  – Tukey’s fences

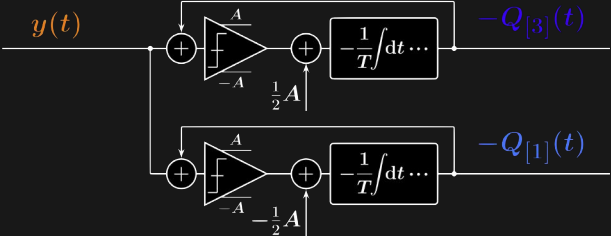
For mid-range, e.g., *Trimean Tracking Filter* (TTF) can be used:

$$(Q_{[1]} + w Q_{[2]} + Q_{[3]}) / (w + 2), \quad w \geq 0$$

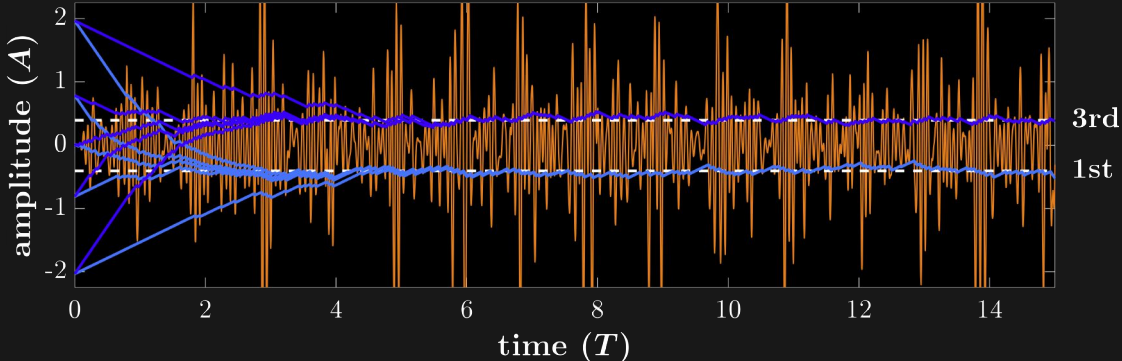
**In practice, finite-gain comparators should be used, and/or small hysteresis should be added**

# QTFs for robust fences/range and mid-range

Quartile Tracking Filters ( $q=1/4$  &  $q=3/4$ )

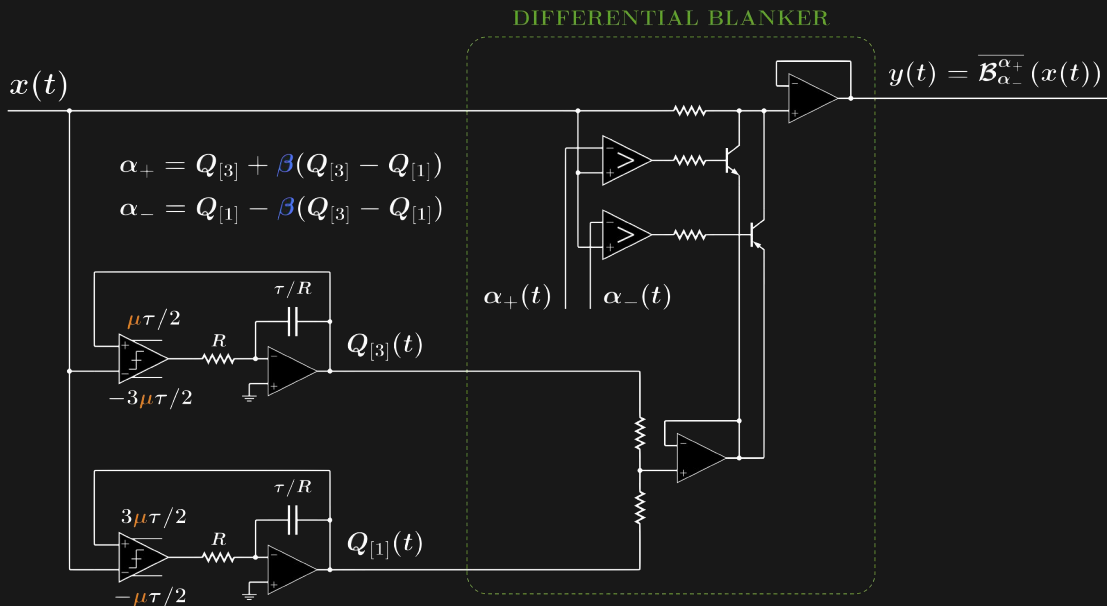


Input  $y(t)$  (orange), QTF outputs  $Q_{[1]}(t)$  and  $Q_{[3]}(t)$  (blue), and "exact" quartiles (white dashed)



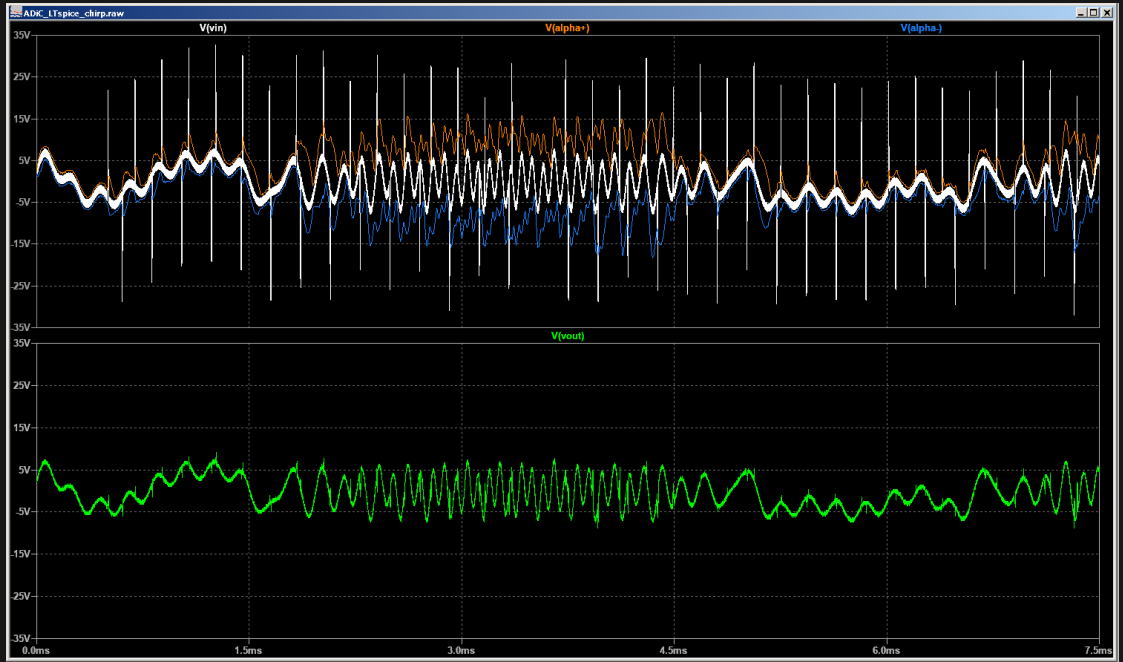
# Basic ADiC structure

ADiC with parameters  $\mu$  and  $\beta$



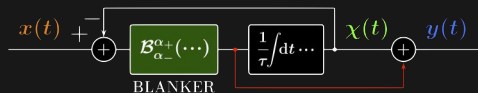


# Basic ADiC structure



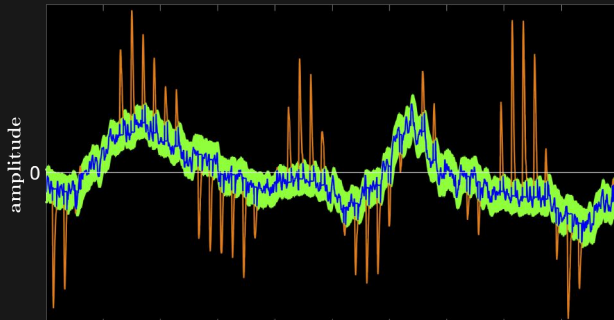
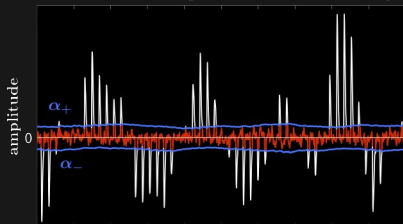
Illustrative signal traces from LTspice simulation of simple ADiC circuit

# Much better way: Feedback-based ADiC

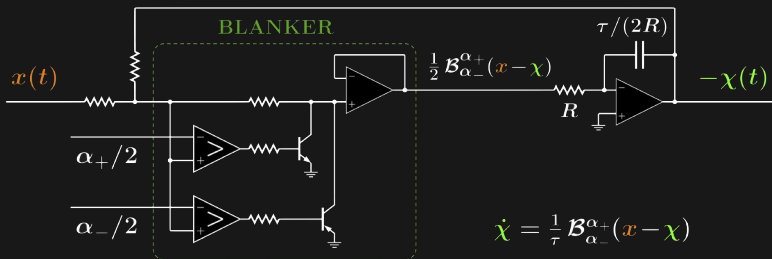


Orange – input w/ outlier noise, blue – ADiC output, green – transparency range

White – blanker input, red – blanker output

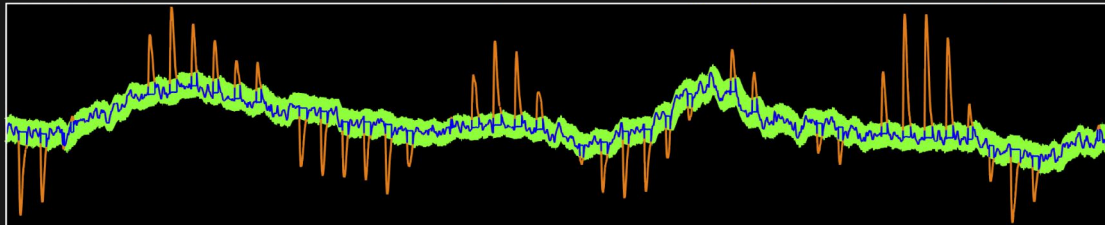


ADiC replaces outliers with  $\chi(t)$ , otherwise does not affect input signal



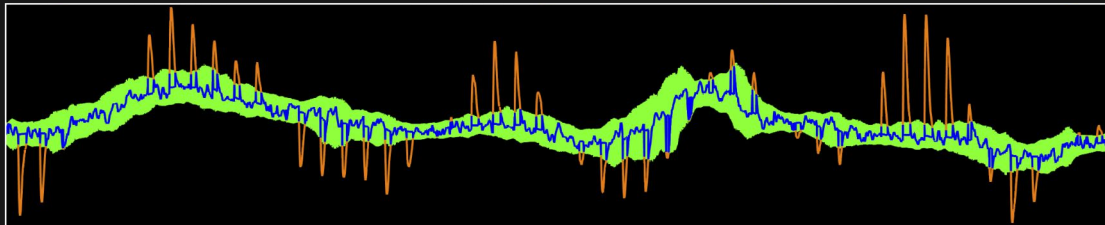
# ADiC vs Hampel filter

Input w/ outlier noise, ADiC output, robust range



Feedback-based ADiC replacing outliers with DCL  $\chi(t)$

Input w/ outlier noise, Hampel filter output, robust range



Hampel filter replacing outliers with running median

Hampel filter is  $\mathcal{O}(TF_s \log(TF_s))$  per output value in time, and  $\mathcal{O}(TF_s)$  in storage

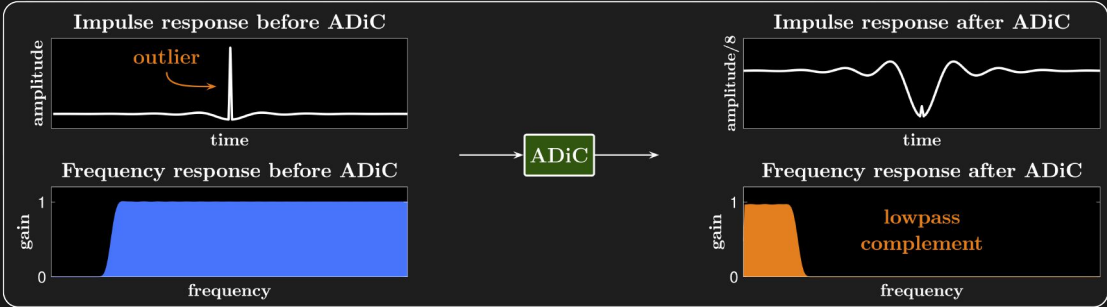


- QTFs for range/mid-range
- Depreciators (e.g. blankers) for mitigation
- Feedback arrangements for enhancement

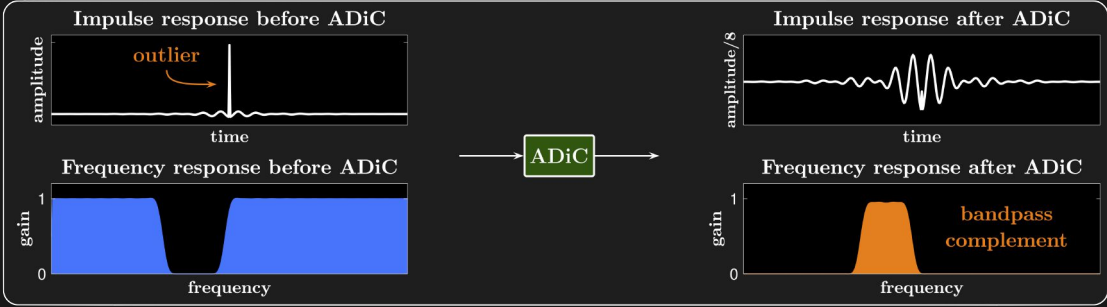
# ADiC-based outlier noise filtering

# Spectral inversion by ADiC

## FIR highpass filter



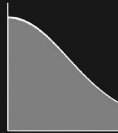
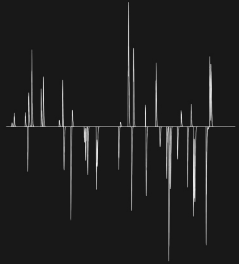
## FIR bandstop filter



# Capitalizing on spectral reshaping: *Efecto cucaracha*

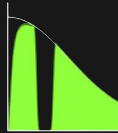
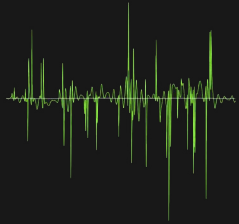
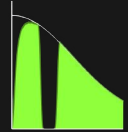
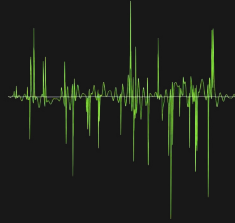
Time domain traces

PSDs

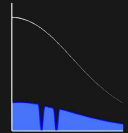
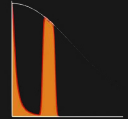
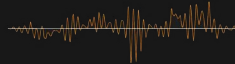


Time domain traces

PSDs



Full/half outlier removal

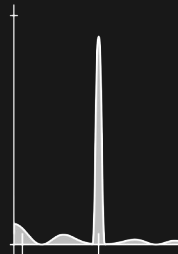
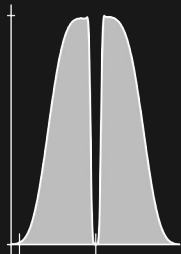
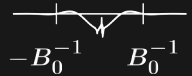
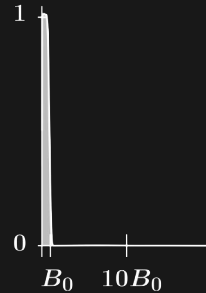
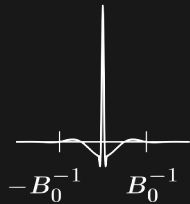
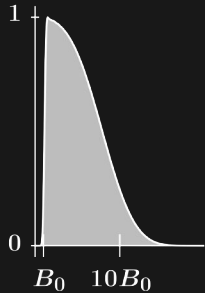


Beware of spectrally-shaped outlier noise

# Capitalizing on spectral reshaping: *Efecto cucaracha*



“Excess band” responses



frequency

time

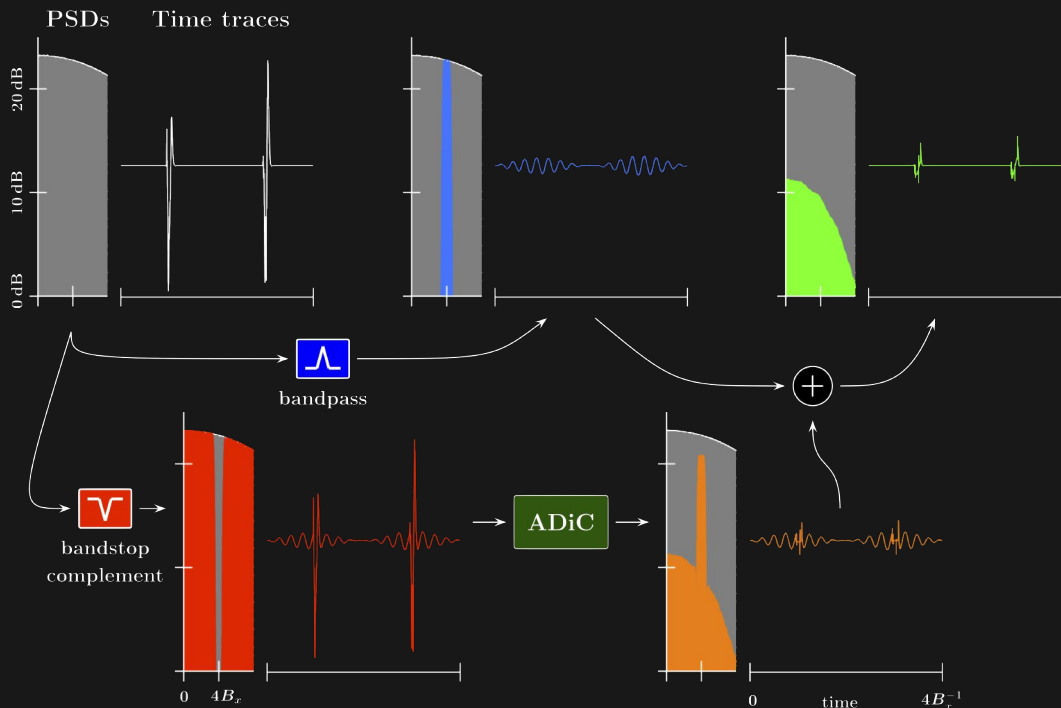
frequency

time



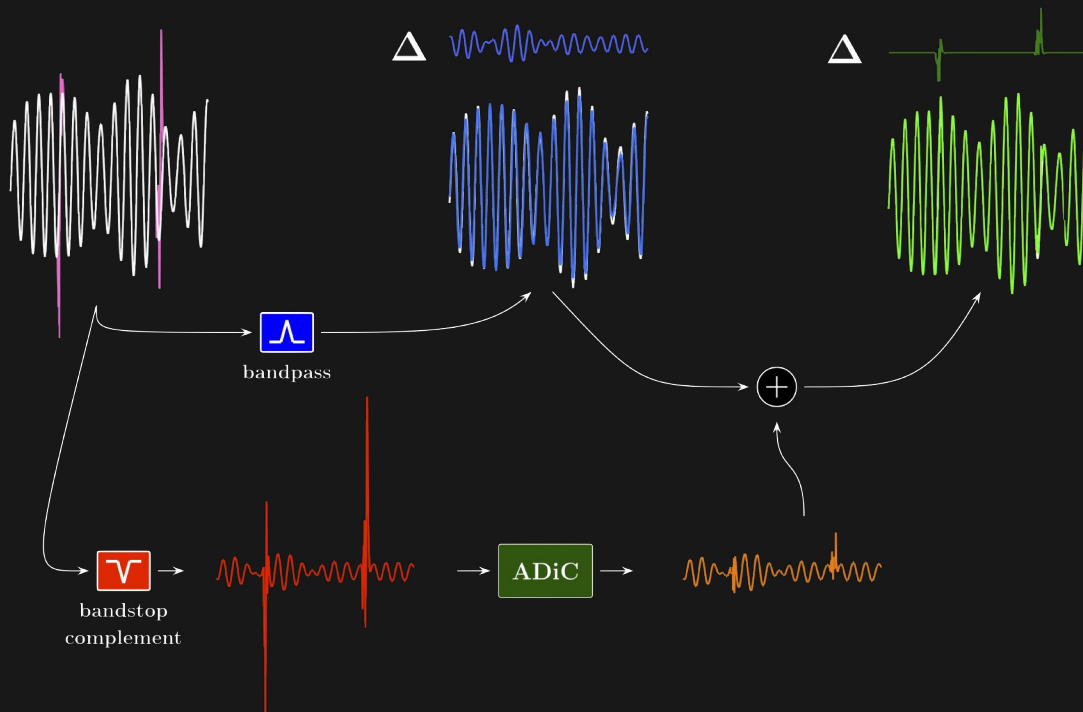
# How to use spectral reshaping by ADiC?

E.g. to enable mitigation at high SNRs for signal in passband:



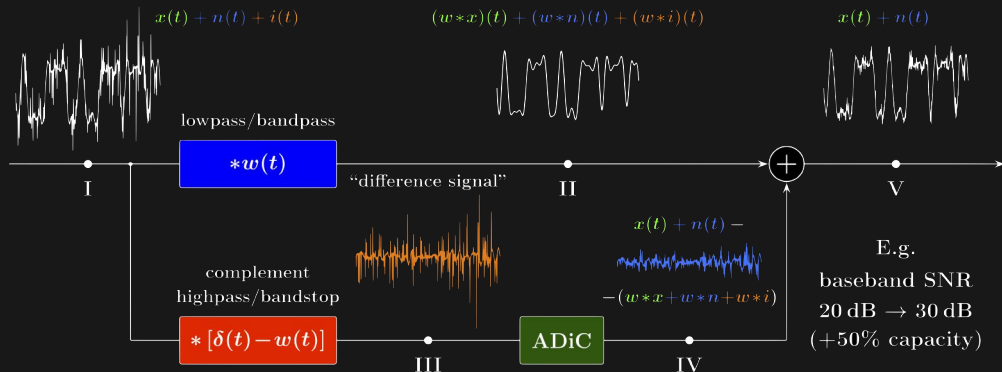
# Removing outlier noise while preserving signal of interest

Outlier noise mitigation at high SNRs for signal in passband:



# CAF: Removing outlier noise while preserving signal of interest

ADiC-based outlier noise removal from band-limited signals:

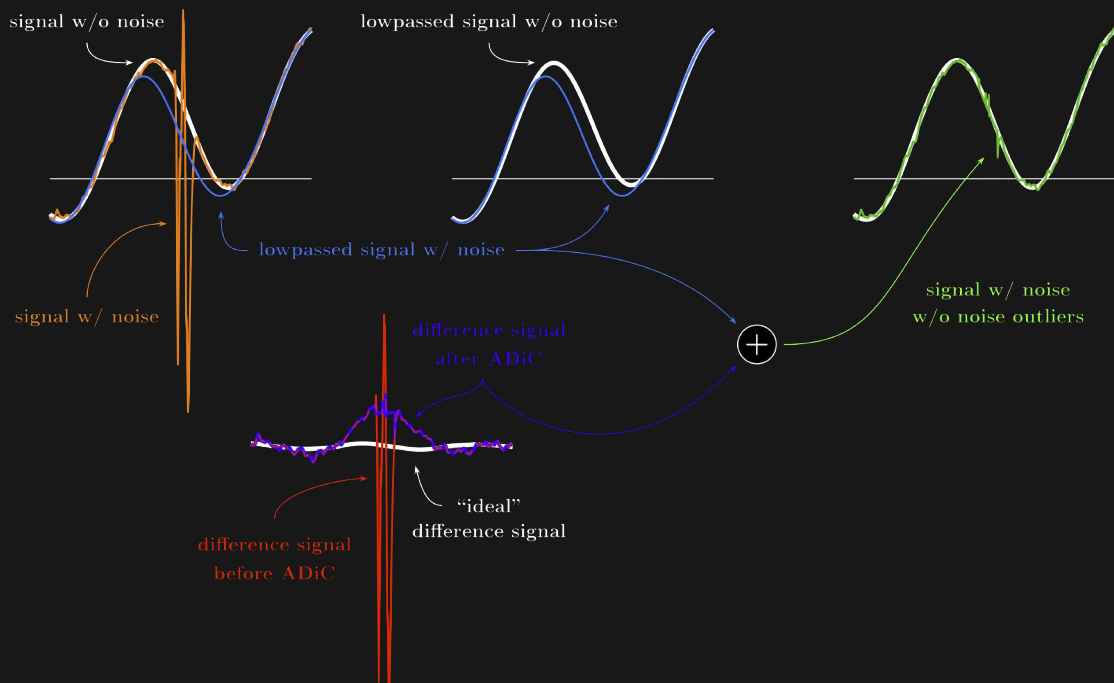


With 100% ADiC efficiency and zero group delay of  $w(t)$ :

- I  $x + n + i$  (signal + noise)
- II  $w*x + w*n + w*i$  (lowpass/bandpass-filtered signal + noise)
- III  $x - w*x + n - w*n + i - w*i$  (mainly highpass/bandstop-filtered noise)
- IV  $x - w*x + n - w*n - w*i$  (ADiC removes  $i(t)$ )
- V  $x + n$  (II+IV: signal + non-outlier noise)

# Removing outlier noise while preserving signal of interest

ADiC-based outlier noise removal from band-limited signals:



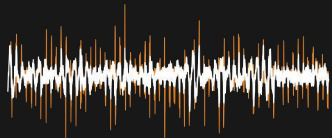
# Removing outlier noise while preserving signal of interest

**Difference signal** before ADiC

Poisson



periodic Gaussian bursts



“clock”



higher rate Poisson



ADiC

**Difference signal** after ADiC

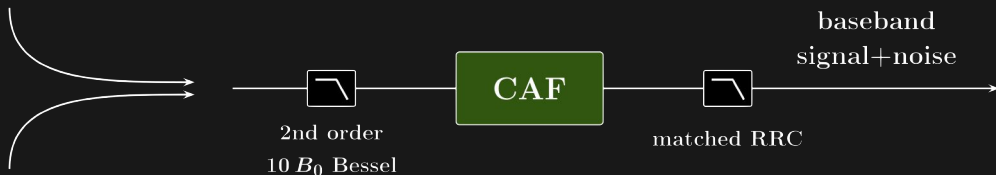
(3 dB baseband SNR improvement)



# CAF vs linear: Effect on channel capacity

## Simulation setup:

Gaussian signal  
with  $B_0$  RRC filter



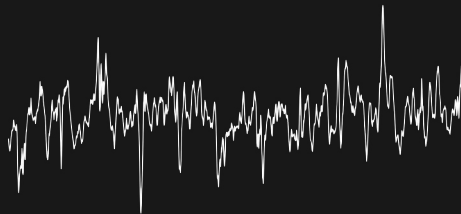
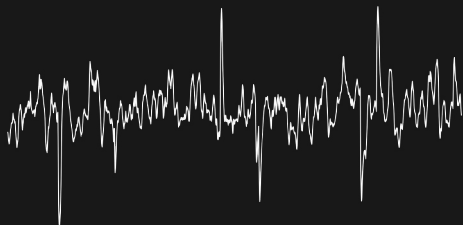
wideband thermal  
+ outlier noise

$$\lambda_c = 10 B_0 \frac{\pi}{2 \log_2 2} \approx 22.7 B_0$$

E.g. noise after Bessel for  $\lambda = \lambda_c/10$  and 0dB outlier-to-thermal noise powers:

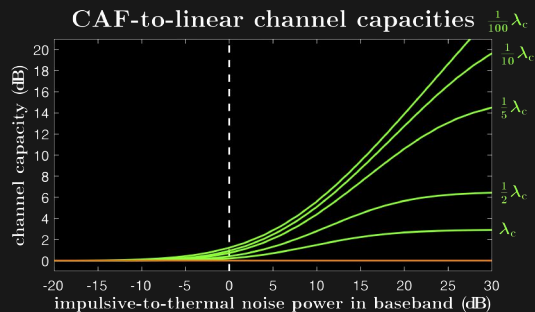
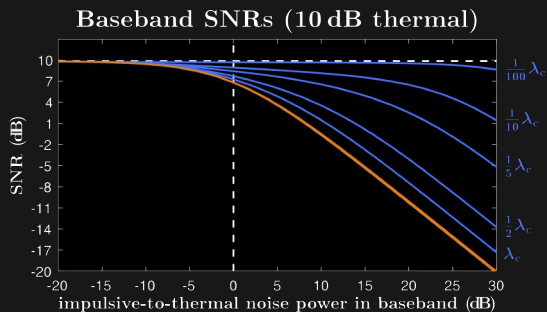
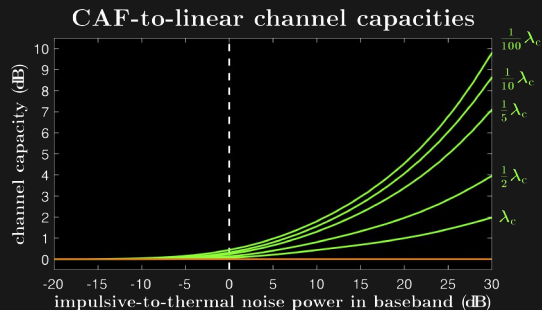
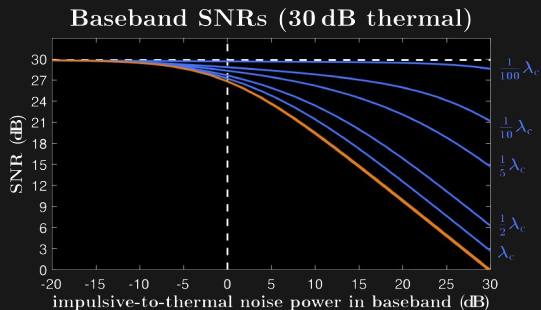
Thermal + Poisson

Thermal + 25% duty cycle bursts



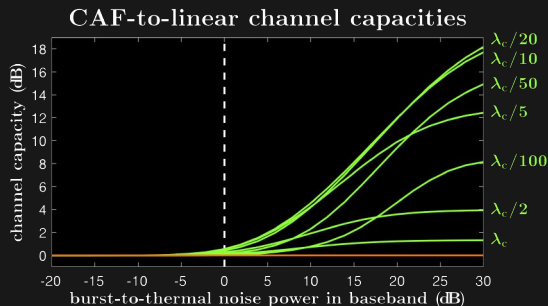
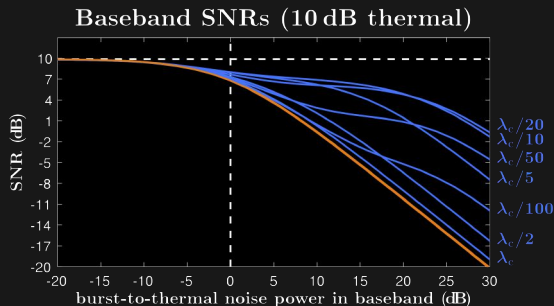
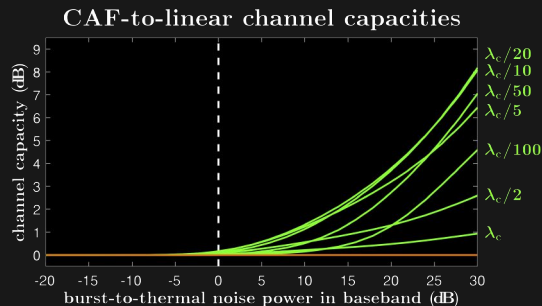
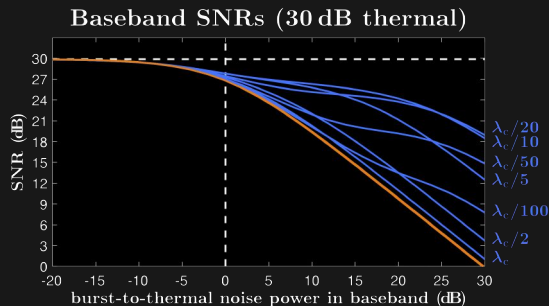
# CAF vs linear: Channel capacity under impulsive noise

For Poisson noise with default (constant) set of ADiC parameters:



# CAF vs linear: Channel capacity under outlier noise

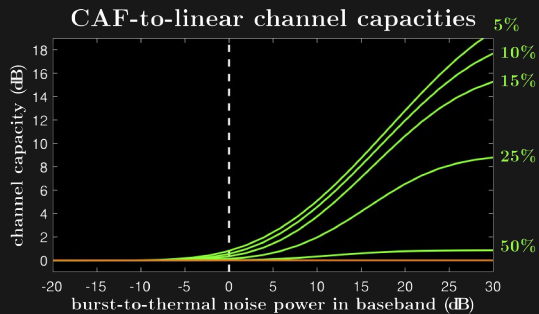
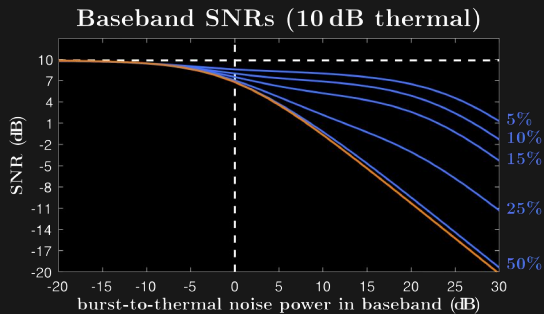
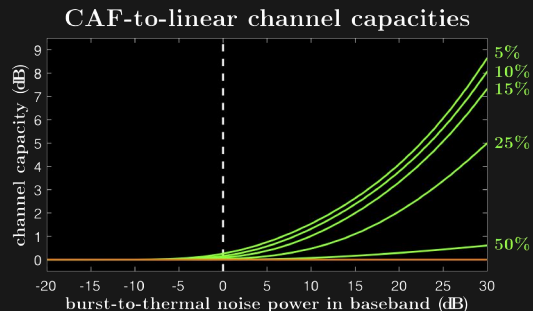
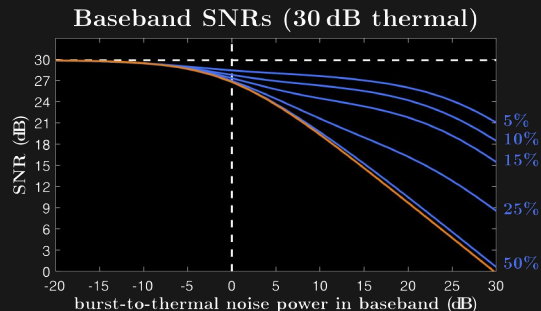
Same default ADiC parameters for periodic Gaussian bursts with 10% duty cycle:





# CAF vs linear: Channel capacity under outlier noise

Or for  $\lambda = \lambda_c/10$  and different duty cycles:



# “No harm” (default) CAF configurations

## Why isn't nonlinear filtering more commonly used?

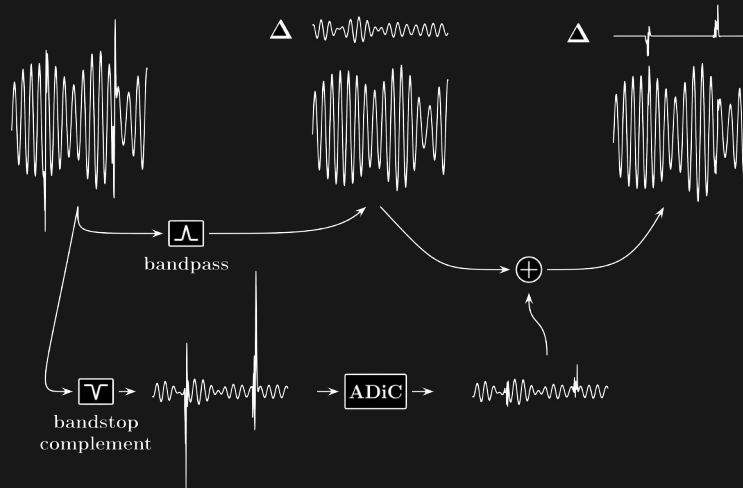
- ▶ Can cause harm in complex, highly nonstationary interference scenarios
- ▶ Leading to distortions, instabilities, “cockroach effect” . . .
- ▶ “Tuning” takes time and resources

CAFs enable default “no harm” nonlinear filtering

## E.g. in mobile and cognitive communication systems

- ▶ where transmitter positions, powers, signal waveforms, and/or spectrum allocations vary dynamically
- ▶ + fading and multipath effects

- Use complementary ADiC filtering



# Analog vs digital

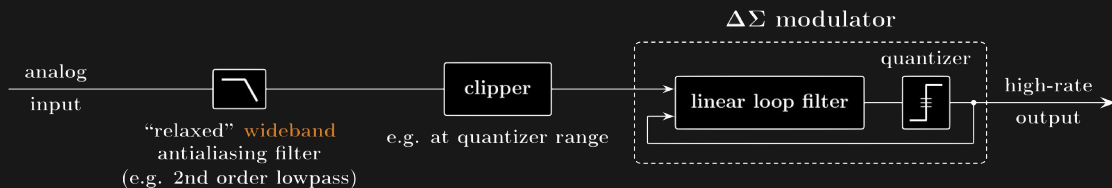
Analog vs digital: Sufficiently high sampling rate is needed for digital

## For numerical implementations:

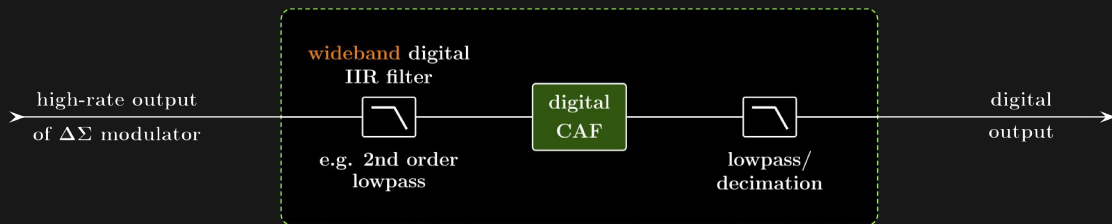
- ▶ Use finite-difference approximations of analog operations
  - differentiation, antidifferentiation, and convolution
- ▶ Employ IIR filters as needed for real-time processing
  - to reduce computations and memory requirements
- ▶ Trade amplitude resolution for higher sampling rate
  - before final decimation

# Digital: Where to get bandwidth?

E.g. for inherently high oversampling rate of  $\Delta\Sigma$  ADC:



## ADiC-based decimation filter



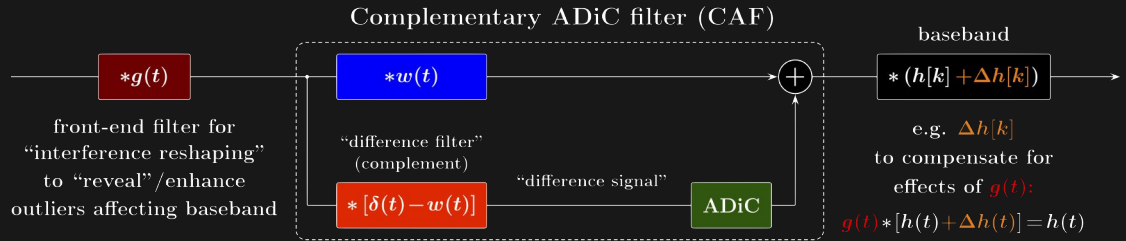
E.g. 20 MHz clock, 100 kS/s output, 500 kHz bandwidth of wideband digital IIR filter ...

We can get creative with wideband "front-end" filters

E.g. combine responses of analog 2nd order antialiasing and digital wideband 2nd order IIR for wideband 4th order Bessel-Thomson filter

# Addressing various “hidden interference” scenarios

General outline:

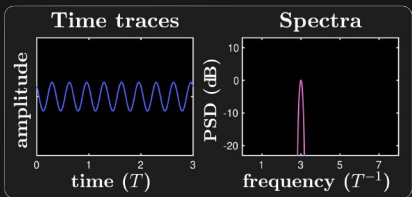


E.g. for strong adjacent channel interference we can use bandstop  $g(t)$ +baseband  $w(t)$  or, alternatively, “baseband+bandpass”  $w(t)$

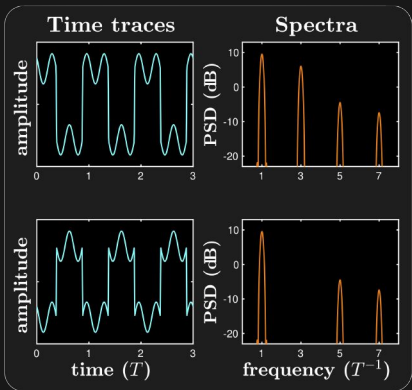
In special case of narrow-band outlier interference (e.g. intentionally confined to signal’s band) we can reduce bandwidth of  $w(t)$  to small fraction of signal’s bandwidth, or set  $w(t)$  to zero – Akin to conventional “blanking” of outliers

# Toy example

## Signal w/o interference



## Signal w/ interference



Linear filter



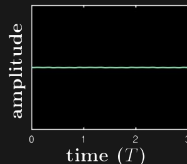
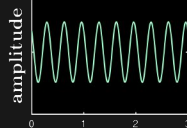
bandpass

Nonlinear filter

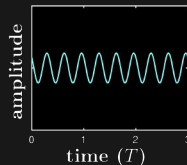
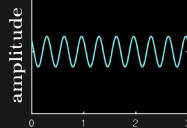


diff/CAF/int  
+ bandpass

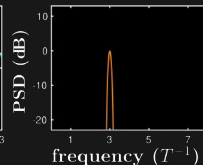
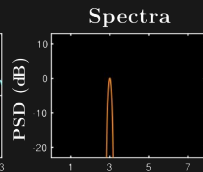
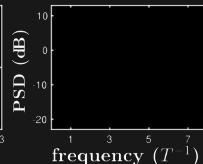
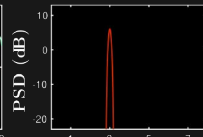
## Time traces



## Time traces



## Spectra

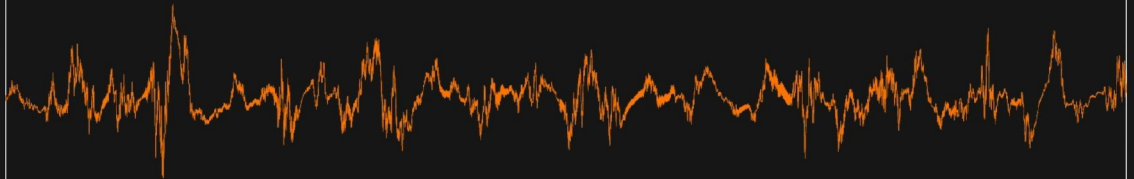




# Toy audible demo

CLICK ON FIGURE BELOW TO PLAY MOVIE

After linear filter

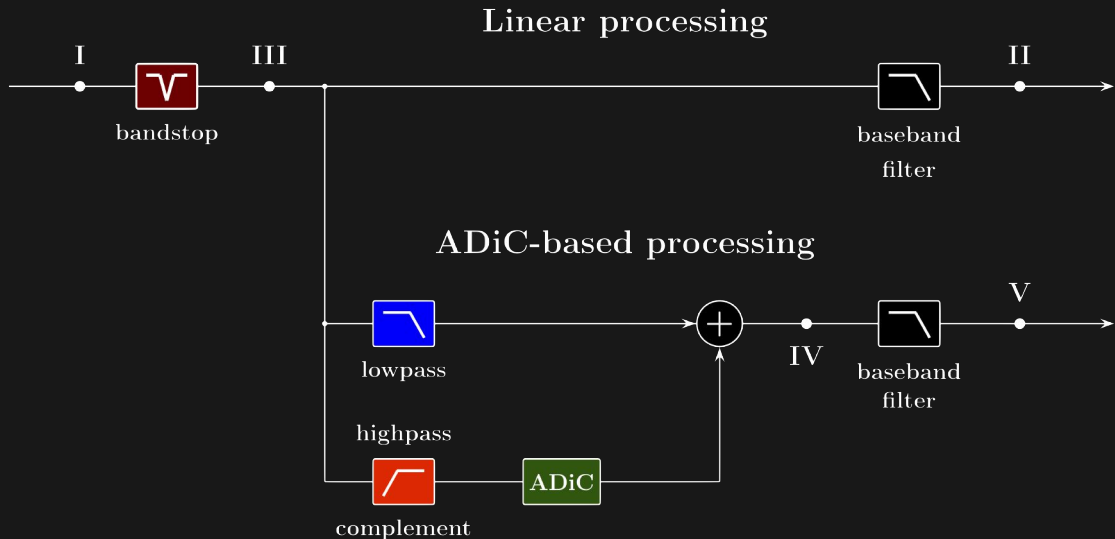


After ADiC-based filter



# Addressing various “hidden interference” scenarios

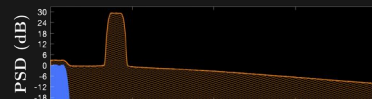
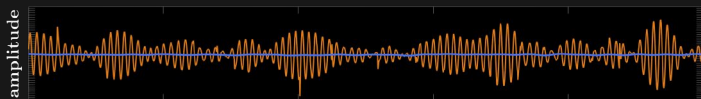
E.g. spectral reshaping for adjacent channel interference:



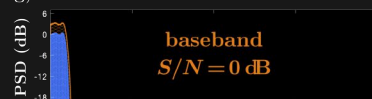
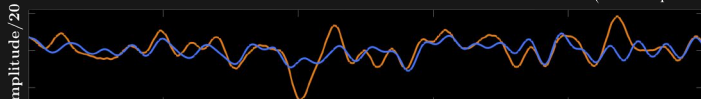
# Impulsive noise with strong adjacent channel interference

**Example:** More than  $\times 2.5$  channel capacity increase in comparison with linear filtering

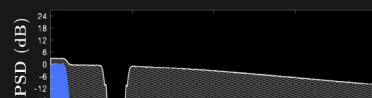
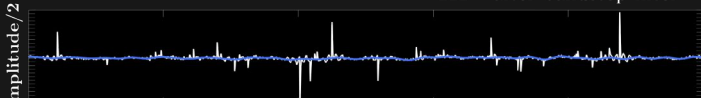
I – incoming signal + broadband Gaussian + broadband impulsive  
+ adjacent channel interference



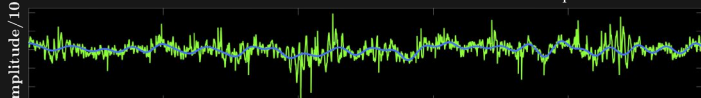
II – after baseband filter (linear processing)



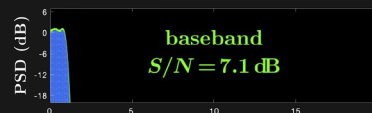
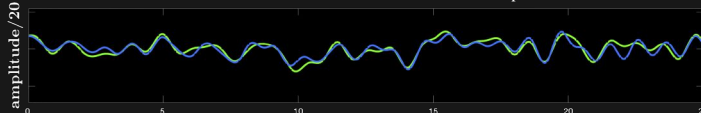
III – after bandstop filter



IV – after 'bandstop-CAF' filter chain

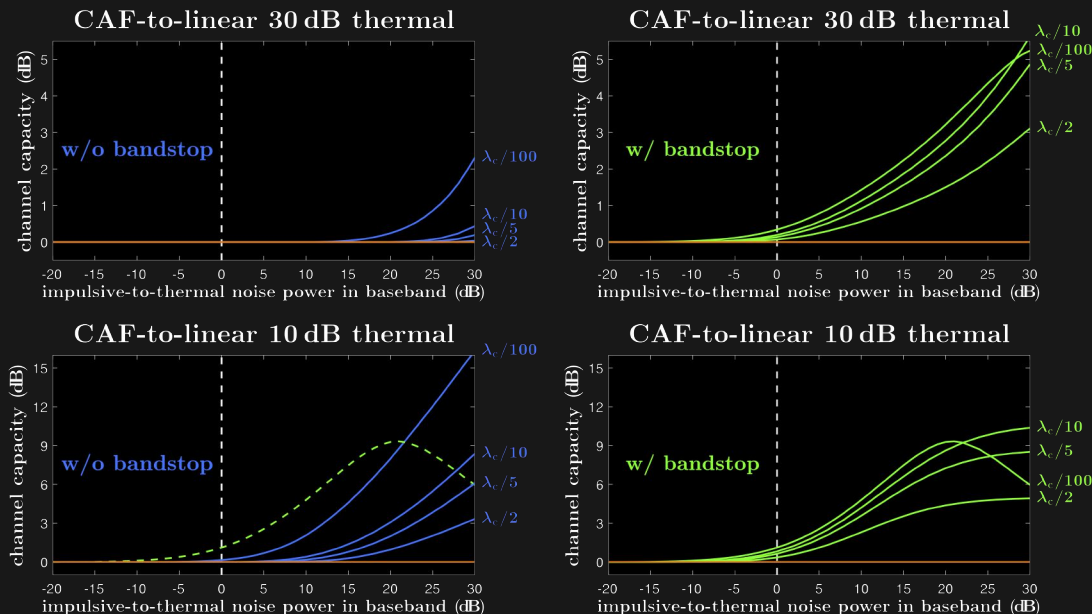


V – after 'bandstop-CAF-baseband' filter chain



# Impulsive noise with strong adjacent channel interference

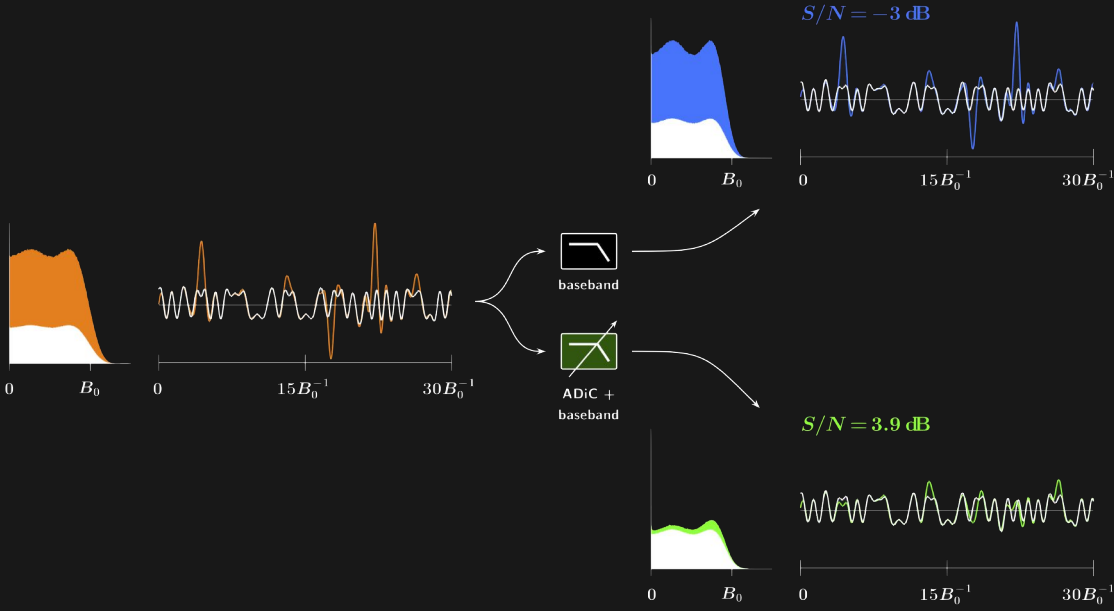
Channel capacities for ADiC-based filter w/ and w/o front-end bandstop:




$B_0$  baseband,  $3.5B_0$ - $4.5B_0$  adjacent, 30 dB adjacent/baseband;  
Poisson noise, default ADiC parameters

# Addressing “special” interference scenarios

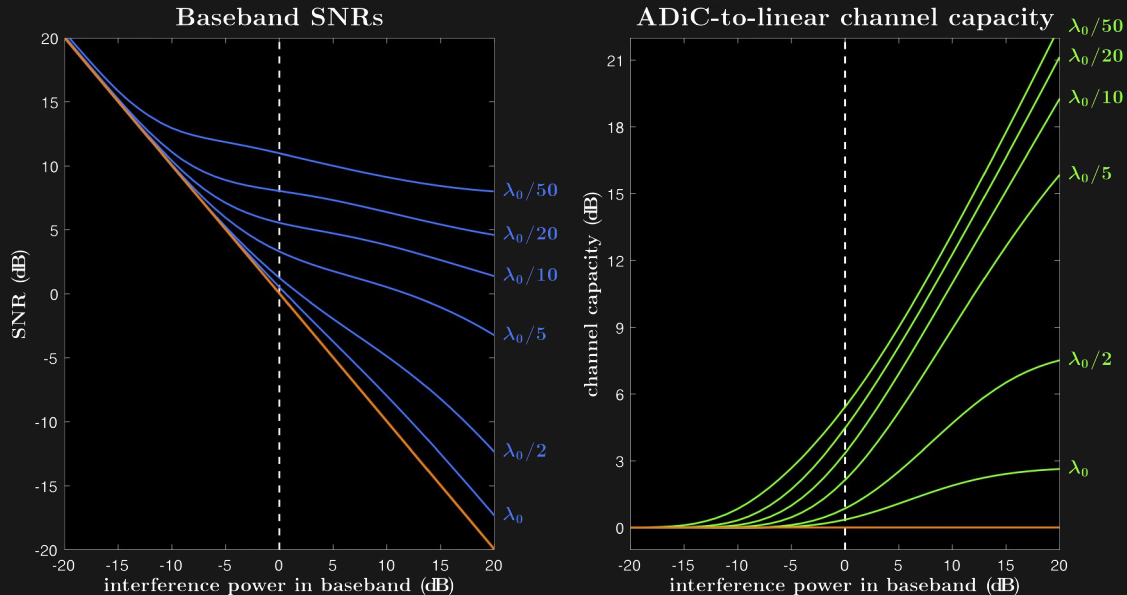
E.g. in special case of same spectral band for signal and impulsive interference:



 Without excess bandwidth, “pileup rates” and “mitigable SNRs” are much lower

# ADiC vs linear: Channel capacity in “shared band” case

Gaussian signal, narrow-band Poisson impulsive noise,  
 $\lambda_0 = 2.27 B_0$ , default ADiC parameters:

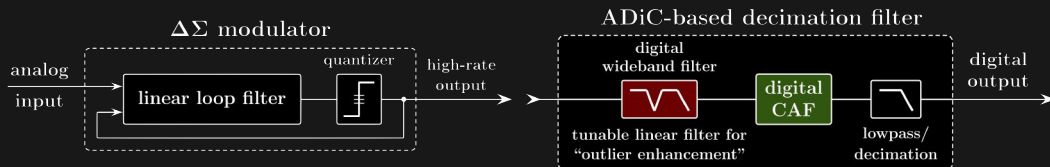


Performance can be enhanced by optimizing ADiC parameters for particular scenarios

# Addressing various “hidden interference” scenarios

1. Employ wideband filter(s) ahead of CAF to enhance outliers in signal's band
2. Use CAF to mitigate outlier noise before final digital filtering
3. Modify, if needed, output digital filter to compensate for wideband filter(s)

**Example:  $\Delta\Sigma$  ADC with ADiC-based decimation for strong adjacent channel interference**



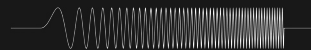
**High oversampling rate allows use of tunable wideband “spectral reshaping” linear filters in combination with ADiC-based filtering to achieve interference mitigation levels **unattainable by linear filtering alone****



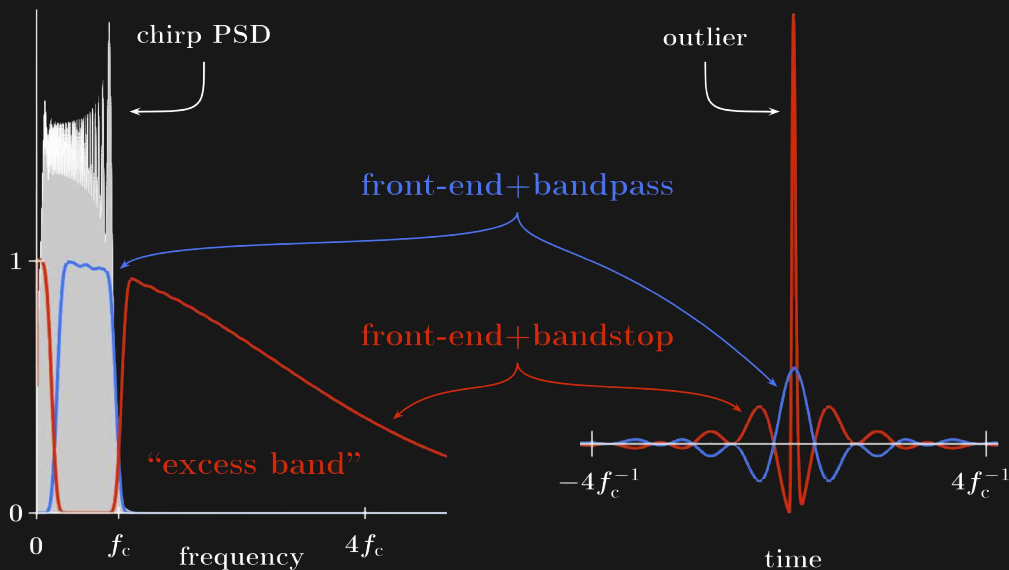
- Oversample  $\Rightarrow$  Wider excess band
- Trade amplitude for time resolution
- Use front-end filters to manage excess band



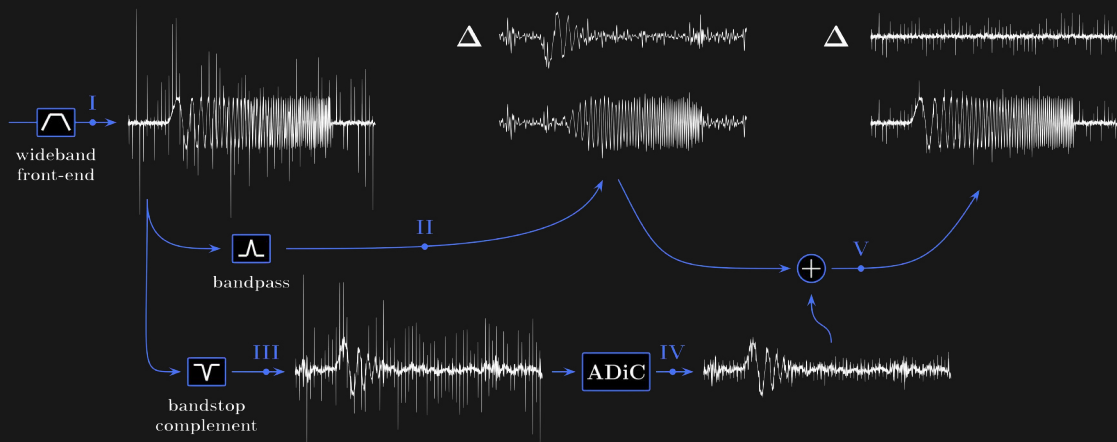
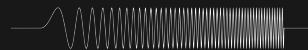
# Practical configurations: CAF for chirp



1. Front-end filter with **wide bandwidth** and **small time-bandwidth product**
2. Stopband  $[f_c/5, f_c]$  reduces average slew rate of linear chirp by about order of magnitude



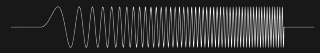
# Practical configurations: CAF for chirp



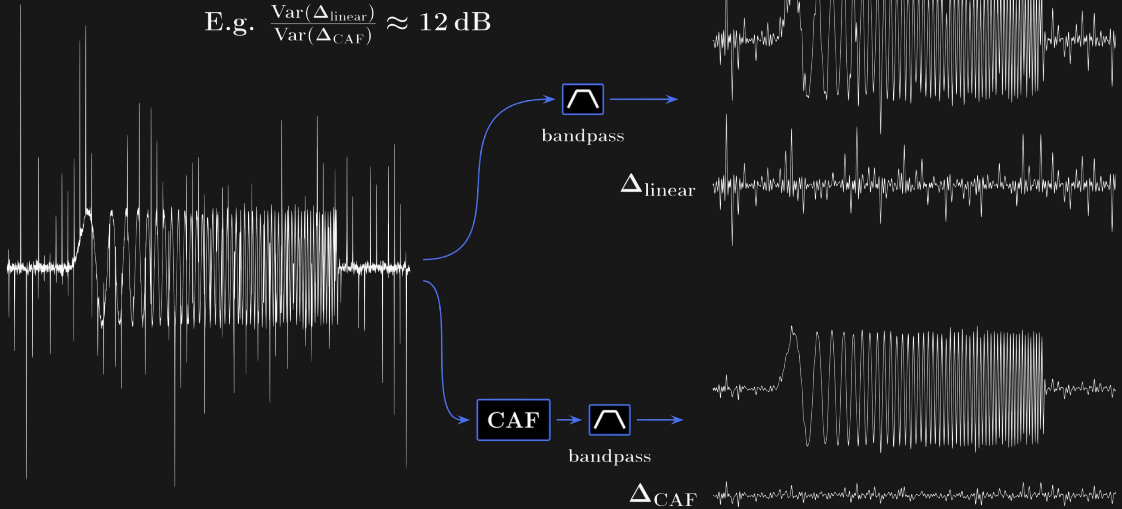
For constant group delay  $\Delta t$  of bandpass filter  $w(t)$ :

- I**  $x(t) + n(t) + i(t)$  (signal + wideband noise with outlier component  $i(t)$ )
- II**  $(w*x)(t) + (w*n)(t) + (w*i)(t)$  (bandpass-filtered signal + noise)
- III**  $x(t-\Delta t) - (w*x)(t) + n(t-\Delta t) - (w*n)(t) + i(t-\Delta t) - (w*i)(t)$  (predominantly noise in excess band)
- IV**  $x(t-\Delta t) - (w*x)(t) + n(t-\Delta t) - (w*n)(t) + \delta i(t-\Delta t) - (w*i)(t)$  (ADiC mitigates  $i(t)$ ,  $i(t) \rightarrow \delta i(t)$ )
- V**  $x(t-\Delta t) + n(t-\Delta t) + \delta i(t-\Delta t)$  (II+IV: delayed signal + wideband noise with reduced outlier component  $\delta i(t)$ )

# Practical configurations: CAF for chirp



$$\text{E.g. } \frac{\text{Var}(\Delta_{\text{linear}})}{\text{Var}(\Delta_{\text{CAF}})} \approx 12 \text{ dB}$$

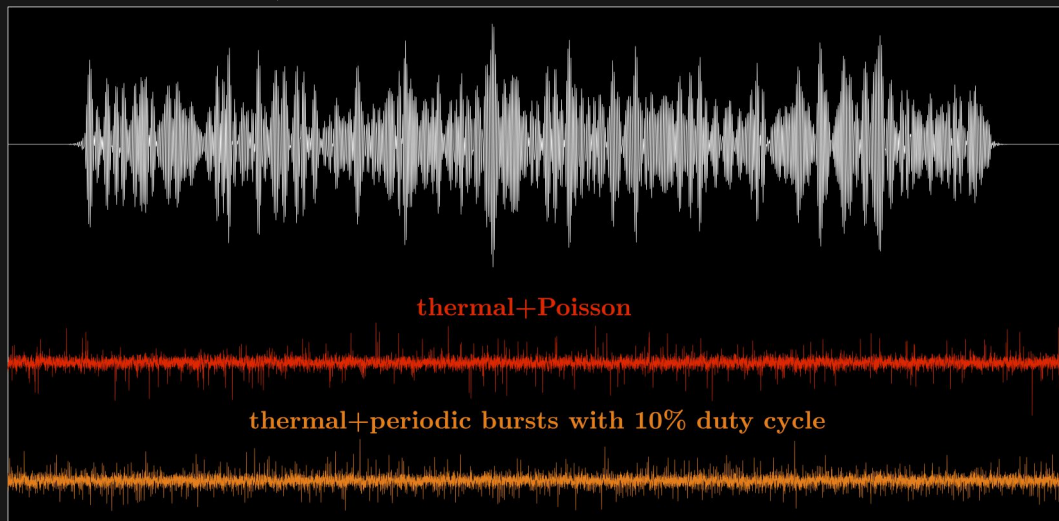


# Practical configurations: CAF for OFDM



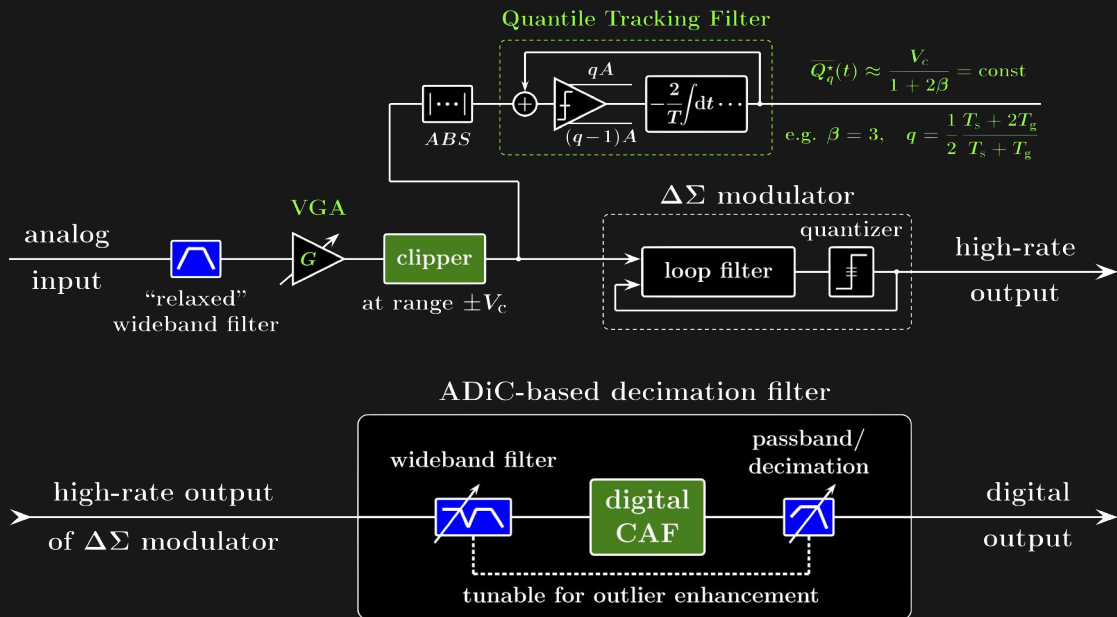
OFDM signal and noise traces at onset of outlier interference mitigability:  
Further increase in power of outlier component results in significantly larger relative improvement in signal quality

OSDM symbol and noise examples for 30 dB thermal SNR,  
 $\lambda = \lambda_c/10$ , and 0 dB outlier-to-thermal noise powers





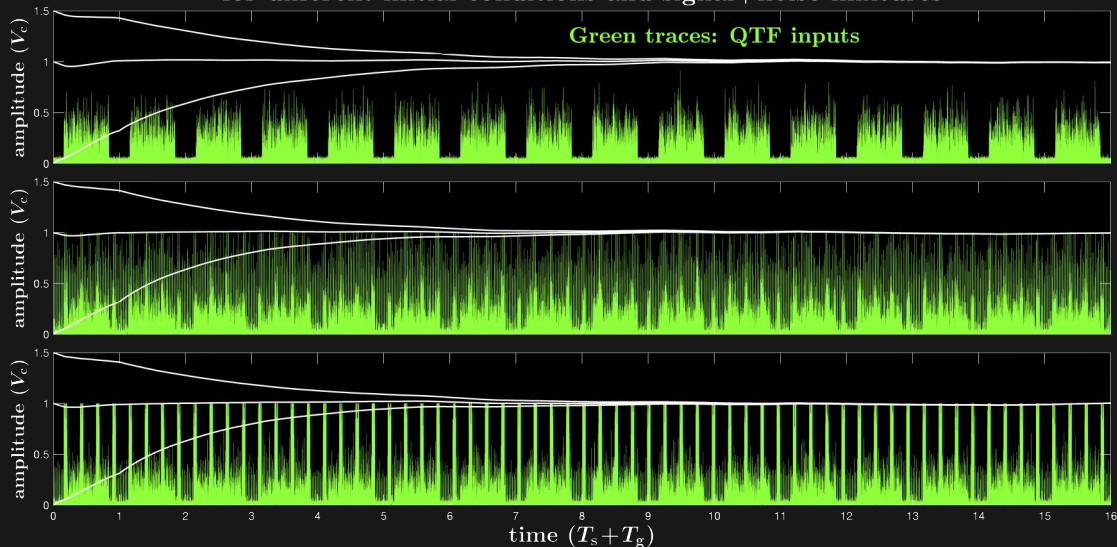
## For “bursty” and high-crest-factor signals





## QTF circuit for gain control

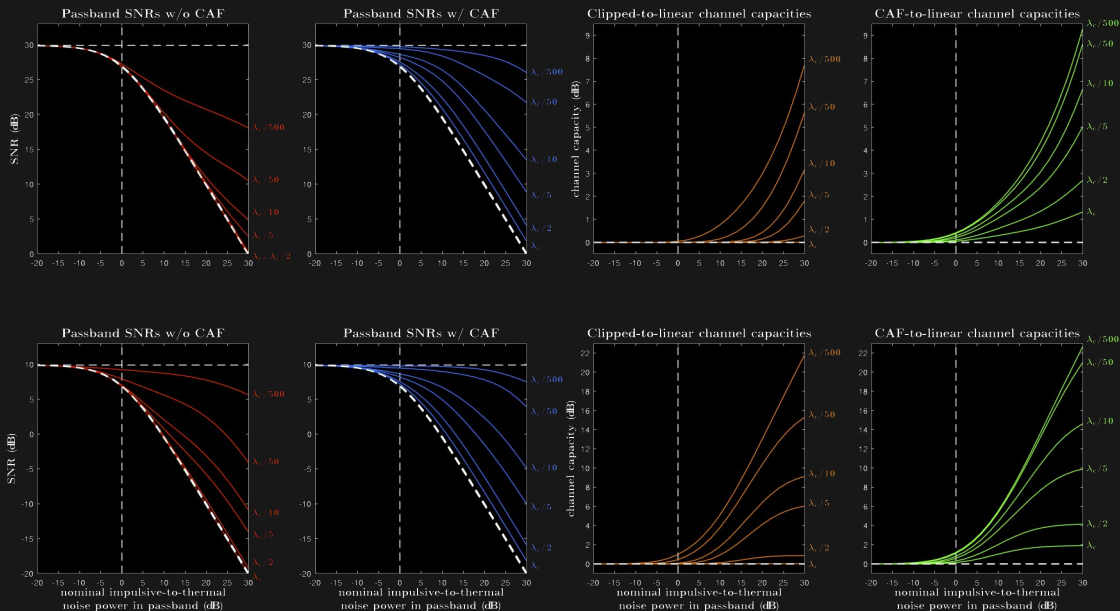
QTF outputs  $7\overline{Q}_q^*(t)$ , for  $\mu = \frac{1}{10}V_c/(T_s+T_g)$  and for different initial conditions and signal+noise mixtures



OFDM signal is not being clipped, while excessively strong outliers are limited to  $\pm V_c$

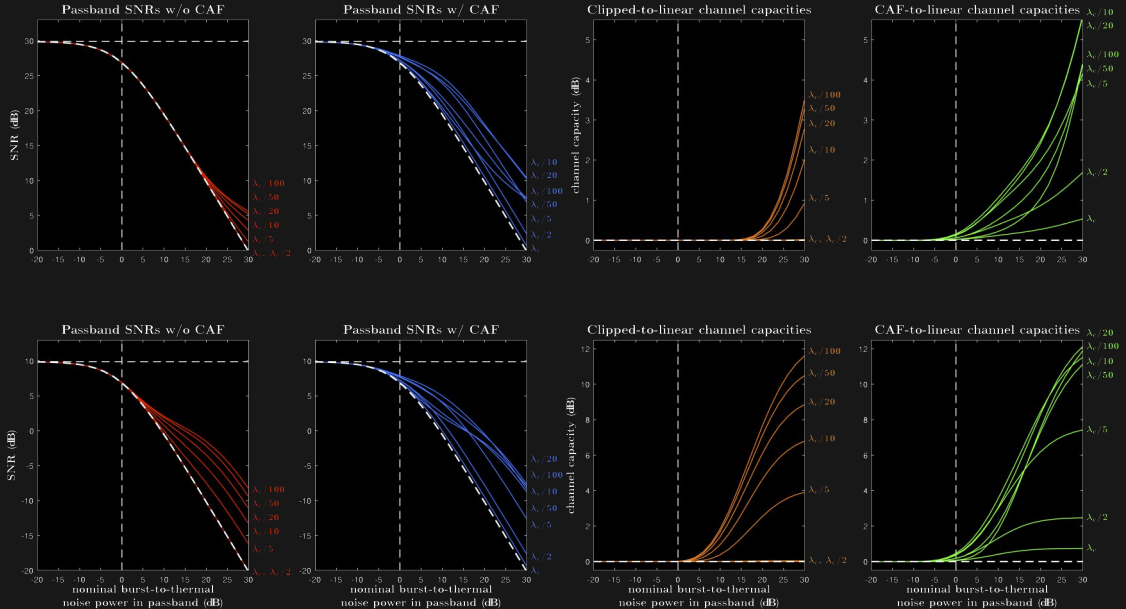
# OFDM: Poisson noise with normally distributed amplitudes

CAF-based filtering following analog clipper noticeably increases effectiveness of mitigation, especially for high SNRs and event occurrence rates



# OFDM: Periodic Gaussian bursts with 10% duty cycle

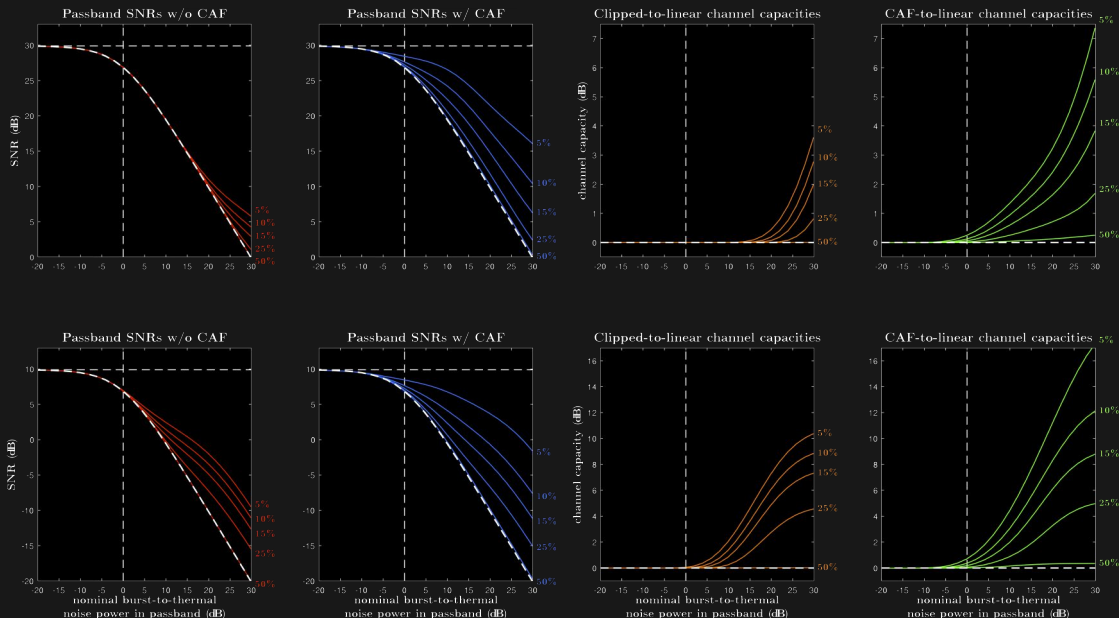
CAF-based filtering following analog clipper significantly further improves signal quality and extends mitigability, but its effectiveness is no longer monotonic with respect to outlier occurrence rates (since burst duration is inversely proportional to rate)





# OFDM: Burst noise with $\lambda = \lambda_c/20$ and different duty cycles

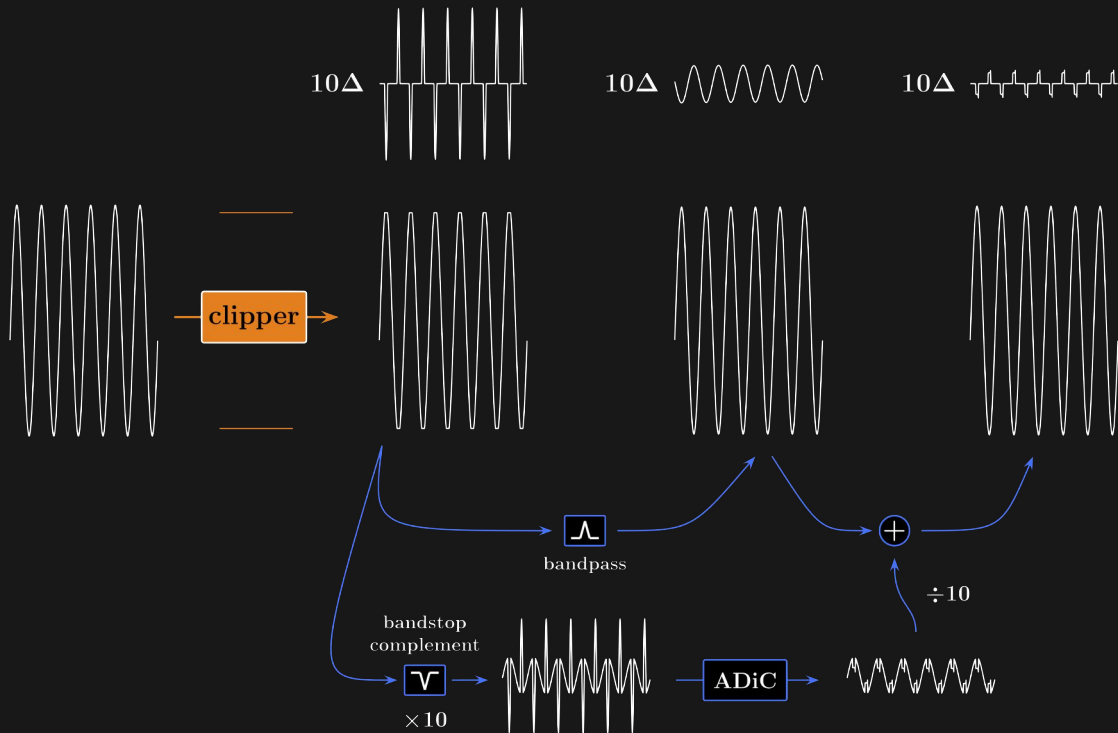
For bursts with duty cycles larger than 50% CAF-based filtering with default parameters becomes ineffective



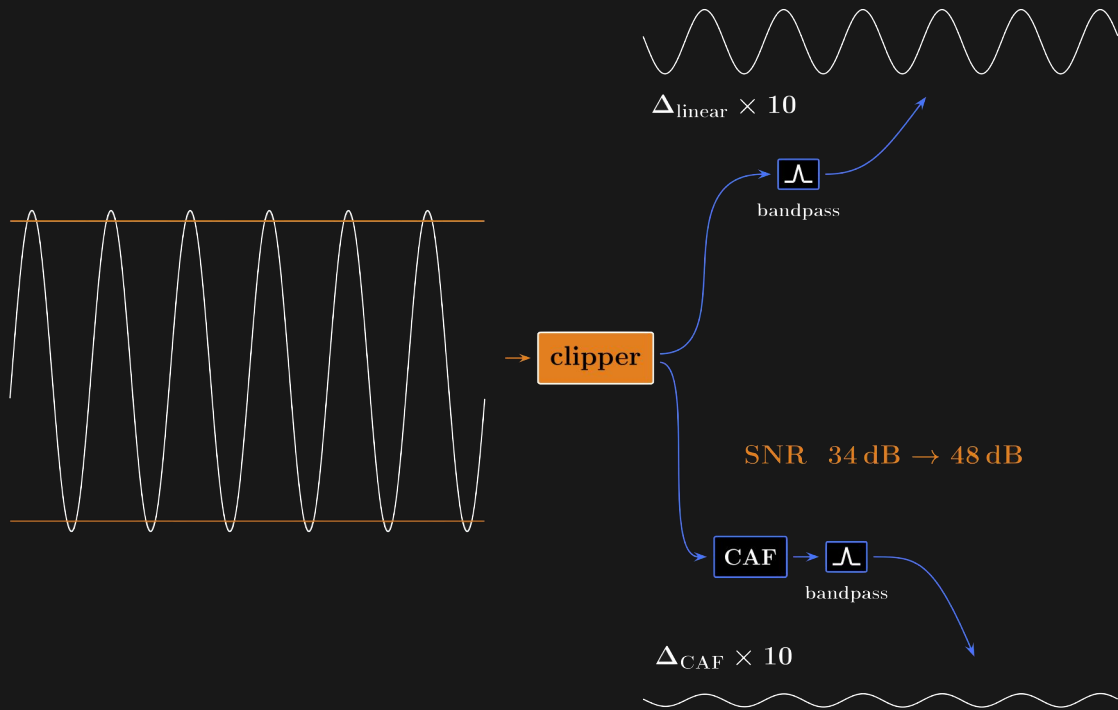


- Co-design antialiasing and pre-CAF filters
- Use analog front-end clipper (e.g. combined with QTF-based AGC)

# CAF for clipping distortions: Toy example

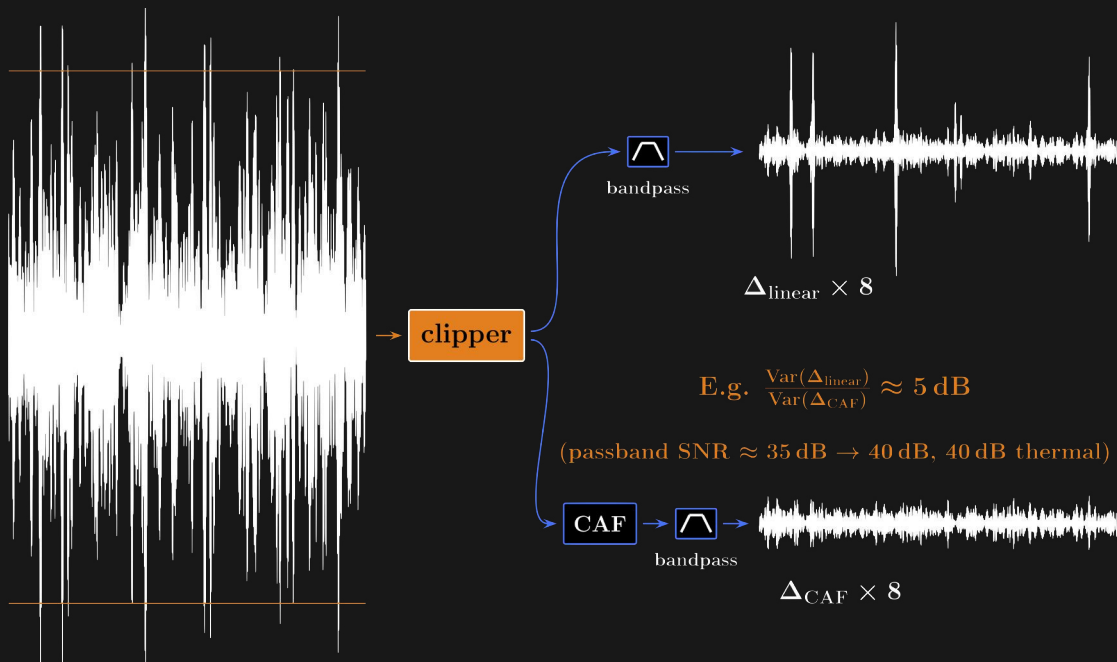


# CAF for clipping distortions: Toy example



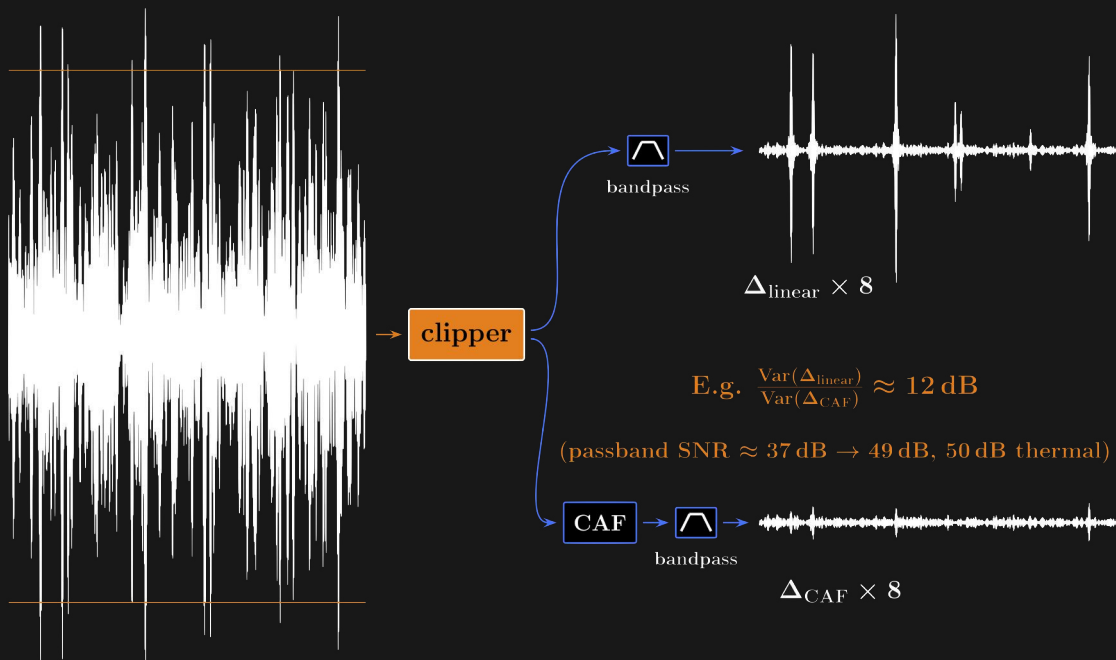


## Mitigating clipping distortions





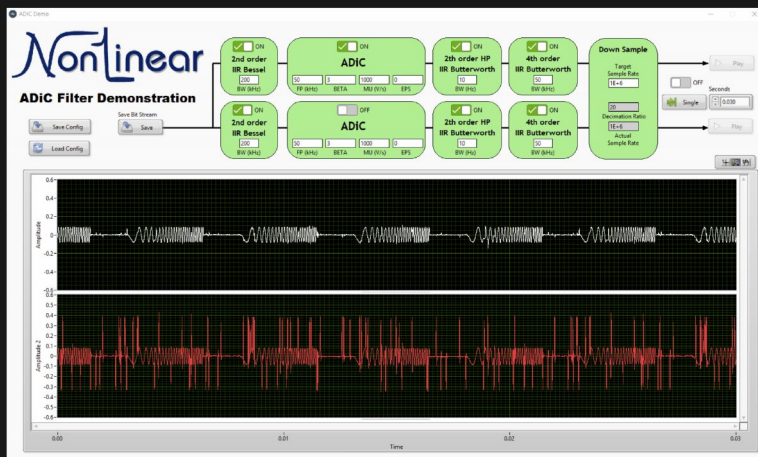
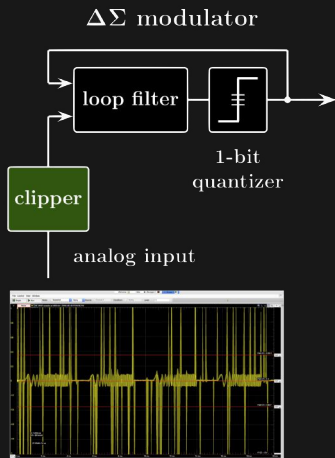
## Mitigating clipping distortions



IMD is part of  
mitigable outlier interference

# Designing development & testing platform

Early prototype of development board incorporating ADIC filtering into  $\Delta\Sigma$  ADC



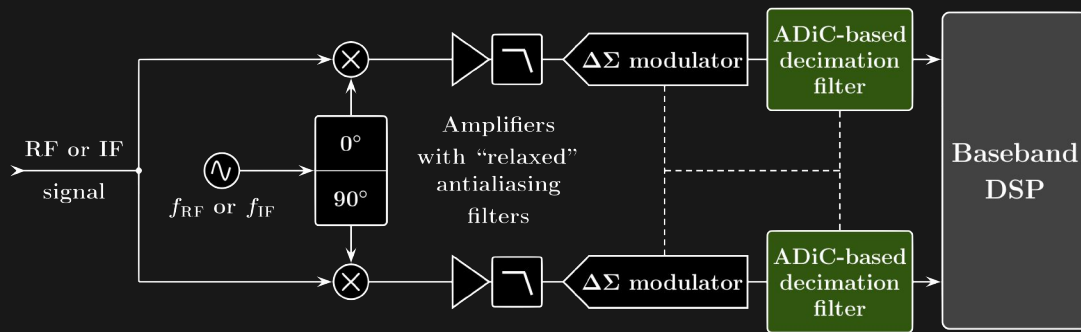
- ▶ 1-bit isolated second-order  $\Delta\Sigma$  modulator AD7403
- ▶ Filters implemented in FPGA on NI sbRIO-9637 (LabVIEW)
- ▶ Mostly for real-time audio range demonstrations and up to 500 kHz output bandwidth
- ▶ “Effectively analog” MATLAB simulation tools



# Designing development & testing platform

Next steps:

► Application-specific setups

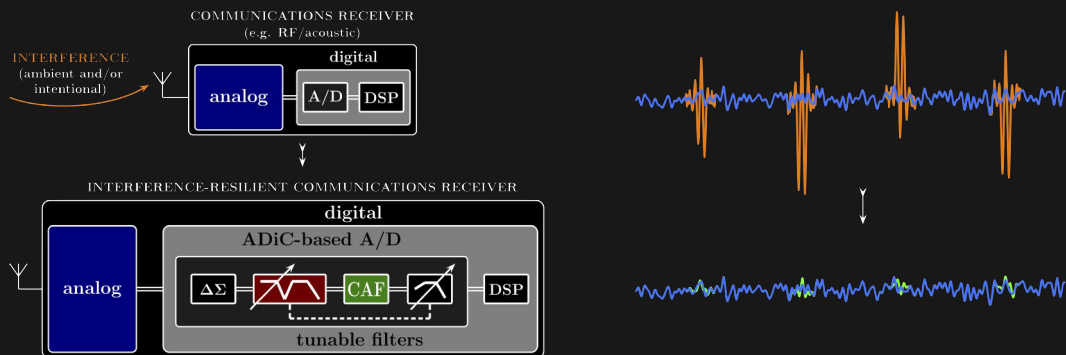


► "Effectively analog" MATLAB simulation tools in parallel

# Broader picture

# Short-term development goals

1. Address **complex practical interference scenarios**
2. Achieve **real-time** mitigation levels **unattainable by linear filtering**
3. Ensure **compatibility with existing systems & mitigation techniques**
4. Facilitate various **spectrum sharing & coexistence** applications
5. Apply to RF/acoustic **battlefield communications** and **radar/sonar**



## ADiC-based filtering:

- ▶ Is intended as **“first line of defense”** against interference
  - can be used in addition or as low-cost alternative to other interference mitigation methods
  - “blind” yet adaptable to nonstationary signal & noise conditions
- ▶ Mitigates various types of **co-site interference** and/or **platform noise**
  - e.g. noise generated by on-board digital circuits, clocks, buses, and switching power supplies
- ▶ Addresses various **spectrum sharing & coexistence applications**
  - e.g. radar-communications, radar-radar, narrowband/UWB, etc.
  - including dual function systems (e.g. radar/comms as mutual signals of opportunity)
- ▶ Can benefit various other military, scientific, industrial and consumer systems
  - e.g. sensors/sensor networks and coherent imaging systems
  - auditory tactical communications (e.g. in military ground combat applications)
  - radiation detection, powerline communications, navigation & ToA techniques
- ▶ Allows **simple analog** and/or **real time digital** implementations
  - can be integrated into and manufactured as IC components for use in different products
  - e.g. as A/D converters with incorporated interference suppression

# A/D with incorporated interference suppression

# Appendices

## Appendix I: Acknowledgments

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- ▶ [Keith W. Cunningham](#) of Atkinson Aeronautics & Technology Inc., Fredericksburg, VA
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- ▶ [James E. Gilley](#) of BK Technologies, West Melbourne, FL
- ▶ [William B. Kuhn](#) of Kansas State University, Manhattan, KS
- ▶ [Earl McCune](#) of Eridan Communications, Santa Clara, CA
- ▶ [Alexey A. Nikitin](#) of AWS, Seattle, WA
- ▶ [Arlie Stonestreet II](#) of Ultra Electronics ICE, Manhattan, KS
- ▶ [Kyle D. Tidball](#) of Textron Aviation, Wichita, KS

The authors would also like to express their sincere appreciation to Suzanne Vega for producing one of the best songs for testing noise mitigation: [Tom's Diner \(1987\)](#)



## Appendix II: Key references



Nikitin AV and Davidchack RL

**Bandwidth is not enough: “Hidden” outlier noise and its mitigation**

Preprint (description of this tutorial), <http://arxiv.org/abs/1907.04186>, 2019



Nikitin AV and Davidchack RL

**Hidden outlier noise and its mitigation**

IEEE Access, vol. 7, 2019 (<https://ieeexplore.ieee.org/document/8753582>)



Nikitin AV and Davidchack RL

**Complementary intermittently nonlinear filtering for mitigation of hidden outlier interference**

Preprint, <http://arxiv.org/abs/1906.01456>, 2019



Nikitin AV and Davidchack RL

**Analog-domain mitigation of outlier noise in the process of analog-to-digital conversion**

In *Proc. IEEE Int. Conf. Commun. 2018 (ICC 2018)*, Kansas City, MO, 20-24 May 2018



Nikitin AV and Davidchack RL

**Nonlinear rank-based analog loop filters in delta-sigma analog-to-digital converters for mitigation of technogenic interference**

In *Proc. IEEE Military Commun. Conf. 2017 (MILCOM 2017)*, Baltimore, MD, 23-25 Oct. 2017



Nikitin AV

**Method and apparatus for mitigation of outlier noise**

US patent 10,263,635 (Apr. 16, 2019)



Nikitin AV

**Method and apparatus for nonlinear filtering and for mitigation of interference**

US patent applications 16/265,363 (allowed May 5, 2019) and 16/383,782 (filed Apr. 15, 2019)